Case 20-11580 Doc 1 Filed 06/15/20 Page 1 of 109

				J		
Fill in this information to identify	y the case:					
United States Bankruptcy Court fo	r the:					
District	of Delaware (State)					
Case number (If known):	Chapter	11_				Check if this is an
					•	amended filing
Official Form 201						
Voluntary Petiti	on for Non-Ir	ıdividı	ıals Fili	ng for Ba	nkruptcv	04/19
If more space is needed, attach a	separate sheet to this form	. On the top o	of any additiona	Il pages, write the de	btor's name and th	e case
number (if known). For more info	rmation, a separate docum	ent, <i>instructi</i> e	ons for Bankrup	otcy Forms for Non-I	naiviauais, is availa	ible.
1. Debtor's name	Proteus Digital	Health, Inc	; .			
2. All other names debtor use	ed Proteus Biome	edical, Inc.				
in the last 8 years						
Include any assumed names, trade names, and doing busines as names	SS					
3. Debtor's federal Employer Identification Number (EIN		2 6 8	0			
4. Debtor's address	Principal place of bus	siness		Mailing address of business	, if different from p	rincipal place
	2600 Bridge Pa	arkwav				
	Number Street	y		Number Stree	t	
				P.O. Box		
	Redwood City	CA	94065			
	City	State	ZIP Code	City	State	ZIP Code
				Location of prin	cipal assets, if diffe of business	erent from
	County			Newshan		
				Number Stree		
				City	State	ZIP Code
5. Debtor's website (URL)	www.prote	eus.com				
	☑ Corporation (includi	ing Limited Lie	hility Company (IIC) and Limited Lich	ility Partnership (LLF	D))
6. Type of debtor	Partnership (exclud	•	ionity Company (LLO, and Limited Liab	mity Faithership (LLF	"
	Other. Specify:					

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Del	btor Proteus Digital Healt	Inc. Case number (if known)					
	Name						
7.	Describe debtor's business	A. Check one:					
••	Describe dester e succinces	☐ Health Care Business (as defined in 11 U.S.C. § 101(27A))					
		☐ Single Asset Real Estate (as defined in 11 U.S.C. § 101(51B))					
		Railroad (as defined in 11 U.S.C. § 101(44))					
		· · · · · · · · · · · · · · · · · · ·					
		☐ Stockbroker (as defined in 11 U.S.C. § 101(53A))					
		Commodity Broker (as defined in 11 U.S.C. § 101(6))					
		Clearing Bank (as defined in 11 U.S.C. § 781(3))					
		None of the above					
		B. Check all that apply:					
		☐ Tax-exempt entity (as described in 26 U.S.C. § 501)					
		☐ Investment company, including hedge fund or pooled investment vehicle (as defined in 15 U.S.C. § 80a-3)					
		☐ Investment advisor (as defined in 15 U.S.C. § 80b-2(a)(11))					
		C. NAICS (North American Industry Classification System) 4-digit code that best describes debtor. See					
		http://www.uscourts.gov/four-digit-national-association-naics-codes .					
		<u>3</u> <u>2</u> <u>5</u> <u>4</u>					
8.	Under which chapter of the	Check one:					
	Bankruptcy Code is the	☐ Chapter 7					
	debtor filing?	·					
		Chapter 9					
		Chapter 11. Check all that apply:					
		Debtor's aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,725,625 (amount subject to adjustment on					
		4/01/22 and every 3 years after that).					
		The debtor is a small business debtor as defined in 11 U.S.C. § 101(51D). If the debtor is a small business debtor, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return or if all of these					
		documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).					
		☐ A plan is being filed with this petition.					
		Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b).					
		The debtor is required to file periodic reports (for example, 10K and 10Q) with the					
		Securities and Exchange Commission according to § 13 or 15(d) of the Securities Exchange Act of 1934. File the Attachment to Voluntary Petition for Non-Individuals Filing					
		for Bankruptcy under Chapter 11 (Official Form 201A) with this form.					
		☐ The debtor is a shell company as defined in the Securities Exchange Act of 1934 Rule 12b-2.					
		☐ Chapter 12					
9.	Were prior bankruptcy cases	☑ No					
	filed by or against the debtor within the last 8 years?	Yes. District When Case number					
	If more than 2 cases, attach a	MM / DD / YYYY					
	separate list.	District When Case number					
10.	Are any bankruptcy cases	☑ No					
	pending or being filed by a	Yes. Debtor Relationship					
	business partner or an affiliate of the debtor?						
		District WhenMM / DD /YYYY					
	List all cases. If more than 1, attach a separate list.	Case number, if known					

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Debte		h, Inc.	Case number (if known)_						
	Name								
	Why is the case filed in <i>this</i>	Check all that apply:							
(district?	e, principal place of business, or principal date of this petition or for a longer part o							
		☐ A bankruptcy case concern	ning debtor's affiliate, general partner, or	partnership is pending in this district.					
F	Does the debtor own or have possession of any real	☒ No☐ Yes. Answer below for each	h property that needs immediate attentio	n. Attach additional sheets if needed.					
t	property or personal property hat needs immediate	Why does the proper	rty need immediate attention? (Check a	all that apply.)					
a	attention?	☐ It poses or is alleg	ed to pose a threat of imminent and iden	tifiable hazard to public health or safety.					
		What is the hazard	d?						
		☐ It needs to be phy	sically secured or protected from the wea	ather.					
			ble goods or assets that could quickly denple, livestock, seasonal goods, meat, dations).						
		☐ Other							
		Where is the propert	y?						
			Number Street						
									
			City	State ZIP Code					
		Is the property insur	ed?						
		☐ No							
		Yes. Insurance ager	ncy						
		Contact name							
		Phone							
	Statistical and adminis	trative information							
	Debtor's estimation of	Check one:							
6	available funds		distribution to unsecured creditors.						
		☐ After any administrative exp	penses are paid, no funds will be availab	le for distribution to unsecured creditors.					
14 [Estimated number of	1 -49	1,000-5,000	25,001-50,000					
	creditors	☐ 50-99 ☐ 100-199	□ 5,001-10,000 □ 10,001-25,000	☐ 50,001-100,000 ☐ More than 100,000					
		№ 200-999	10,001-23,000	Wore than 100,000					
		\$0-\$50,000	\$1,000,001-\$10 million	\$500,000,001-\$1 billion					
15. E	Estimated assets	\$50,001-\$100,000	☐ \$10,000,001-\$50 million	□ \$1,000,000,001-\$10 billion					
		□ \$100,001-\$500,000 □ \$500,001-\$1 million	□ \$50,000,001-\$100 million □ \$100,000,001-\$500 million	□ \$10,000,000,001-\$50 billion □ More than \$50 billion					
		\\ \pi \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	\$.00,000,001 \$000 Hillion	sio than goo billion					

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Debtor Proteus Digital Health, Inc. Name Case number (if known)				mber (if known)	
16. Estimated liabilities		\$0-\$50,000 \$50,001-\$100,000 \$100,001-\$500,000 \$500,001-\$1 million	\$1,000,001-\$10 million \$10,000,001-\$50 million \$50,000,001-\$100 million \$100,000,001-\$500 million	on \$10,000,000,001-\$50 billion	
Red	uest for Relief, Decl	aration, and Signatures			
		ous crime. Making a false stat t for up to 20 years, or both. 18		ankruptcy case can result in fines up to and 3571.	
	n and signature of d representative of	The debtor requests relief petition.	f in accordance with the chap	ter of title 11, United States Code, specified in this	
		I have been authorized to	file this petition on behalf of t	he debtor.	
		I have examined the inforcerect.	mation in this petition and hav	ve a reasonable belief that the information is true and	t
		I declare under penalty of per	jury that the foregoing is true	and correct.	
		Executed on06/15/2	2020		
		MM / DD / YY	NYY .	Lawrence R. Perkins	
		Signature of authorized repres	sentative of debtor	Printed name	-
		TitleInterim Chief Exec	cutive Officer		
		4.5			
18. Signature	of attorney	/s/ L. Katherine G Signature of attorney for debt		Date	
		L. Katherine Good			
		Potter Anderson & Firm name	Corroon LLP		
		1313 N. Market Str	eet, 6th Floor		
		Wilmington			
		(302) 984-6000 Contact phone		kgood@potteranderson.com Email address	
		5101 Bar number		DEState	

ACTION BY UNANIMOUS WRITTEN CONSENT OF THE BOARD OF DIRECTORS OF PROTEUS DIGITAL HEALTH, INC.

The undersigned, constituting all of the members of the Board of Directors (the "Board") of **PROTEUS DIGITAL HEALTH, INC.**, a Delaware corporation (the "Company"), pursuant to Sections 141(f) of the Delaware General Corporations Law (the "DGCL"), hereby adopt the following resolutions by written consent:

WHEREAS, the board of directors (collectively, the "<u>Board</u>") of Proteus Digital Health, Inc. (the "<u>Company</u>") has considered presentations by the management and the financial and legal advisors of the Company regarding the liabilities and liquidity situation of the Company, the strategic alternatives available to it, and the effect of the foregoing on the Company's business, creditors, and other parties in interest;

WHEREAS, the Board previously retained Lawrence R. Perkins of SierraConstellation Partners LLC as Chief Restructuring Officer and thereafter appointed Mr. Perkins as Interim Chief Executive Officer (the "CEO");

WHEREAS, the Board has had the opportunity to consult with the CEO and the Company's legal advisors and fully consider each of the strategic alternatives available to the Company;

WHEREAS, the Board has been presented with a proposed petition and related documents to be filed by the Company in the United States Bankruptcy Court for the District of Delaware (the "<u>Bankruptcy Court</u>") seeking relief under chapter 11 of title 11 of the United States Code (the "<u>Bankruptcy Code</u>") as a debtor in possession; and

WHEREAS, the Board, having had a series of meetings to consider the financial and operational aspects of the Company's business and the best course of action to maximize value, having received financial and other input from management and its advisors, having had the opportunity to review and consider the same, and having pursued and considered various alternatives, have determined in the exercise of their respective business judgment that given the current facts and circumstances confronting the Company, it is advisable and in the best interests of the Company, its creditors, and other interested parties that a petition be filed by the Company seeking relief under the provisions of chapter 11 of the Bankruptcy Code.

NOW, THEREFORE, BE IT RESOLVED, that in the judgment of the Board it is desirable and in the best interests of the Company, its creditors, employees, stakeholders and other interested parties that a voluntary petition be filed by the Company seeking relief under the provisions of chapter 11 of the Bankruptcy Code, and the filing of such petition is authorized hereby;

RESOLVED FURTHER, that the officers of the Company, including the CEO (collectively, the "Officers"), or any one of them, be, and each hereby is, authorized and empowered, on behalf of and in the name of the Company, to execute and verify a petition in the name of the Company under chapter 11 of the Bankruptcy Code and to cause the same to be filed in the Bankruptcy Court in such form and at such time as the Officer(s) executing said petition on behalf of the Company shall

determine;

RESOLVED FURTHER, that the Officers, or any one of them, be, and each hereby is, authorized and empowered, on behalf of and in the name of the Company, to execute, verify and/or file, or cause to be executed, verified and/or filed (or direct others to do so on their behalf as provided herein) all necessary documents, including, without limitation, all petitions, affidavits, statements, schedules, motions, lists, applications, pleadings and other papers, and in connection with the petition, to employ and retain all assistance by legal counsel, accountants or other professionals, and to take any and all action which they deem necessary and proper to maintain the ordinary course operation of the Company's business during the pendency of the chapter 11 case, including any and all action necessary or proper in connection with obtaining Bankruptcy Court authorization to use cash collateral and obtain post-petition financing ("DIP Financing"), and seek the relief contemplated by "first day" and/or "second day" motions;

RESOLVED FURTHER, that the Officers, or any one of them, be, and each hereby is, authorized and empowered, on behalf of and in the name of the Company, to retain and employ professionals to render services to the Company in connection with the chapter 11 case, including, without limitation, the firm Goodwin Procter LLP, to act as chapter 11 counsel; Potter Anderson & Corroon LLP, to act as Delaware and conflicts counsel; SierraConstellation Partners LLC, to act as financial advisors; and Kurtzman Carson Consultants LLC, to act as claims and noticing agent and administrative advisor;

RESOLVED FURTHER, that the Officers, or any one of them, be, and each hereby is, authorized and empowered, on behalf of and in the name of the Company, to amend, supplement or otherwise modify from time to time the terms of any documents, certificates, instruments, agreements, financing statements, notices, undertakings or other writings referred to in the foregoing resolutions;

RESOLVED FURTHER, that the Officers be, and each of them hereby is, authorized and empowered, on behalf of and in the name of the Company, to take or cause to be taken any and all such further action and to execute, deliver, verify and/or file, or cause to be executed, delivered, verified and/or filed (or direct others to do so on its behalf as provided herein) all such further documents, agreements, instruments, financing statements, notices, undertakings, certificates and other writings to effectuate the purpose and intent of any and all of the foregoing resolutions;

RESOLVED FURTHER, that all acts lawfully done or actions lawfully taken by any Officer to seek relief on behalf of the Company under chapter 11 of the Bankruptcy Code, or in connection with the chapter 11 case, or any matter related thereto, in connection with the Company's ordinary course operations be, and hereby are, adopted, ratified, confirmed and approved in all respects as the acts and deeds of the Company as fully as if such actions had been presented to the Board for its prior approval; and

RESOLVED FURTHER, that the Officers be, and each of them hereby is, authorized and empowered, on behalf of and in the name of the Company, to pay and direct the payment of all fees and expenses as in their judgment shall be necessary, appropriate, or advisable in the good faith judgment of such Officers to effectuate the purpose and intent of any and all of the foregoing resolutions.

[SIGNATURE PAGE FOLLOWS]

This **ACTION BY WRITTEN CONSENT** shall be filed with the minutes of the proceedings of the Board of Directors of the Company.

The undersigned have executed this **ACTION BY WRITTEN CONSENT** as of the date set forth opposite his or her name below. Any copy, facsimile, .PDF or other reliable reproduction of this Action by Written Consent may be substituted or used in lieu of the original writing for any and all purposes for which the original writing could be used, provided that such copy, facsimile, .PDF or other reproduction be a complete reproduction of the entire original writing.

Shumeet Banenji	6/14/2020
Shumeet Banerji	Date
Regina Benjamin	6/14/2020
Regina Benjamin	Date
Robert Epstein	6/14/2020
Robert Epstein	Date
Funt Fride	6/14/2020
Frank Fischer	Date
Alan levy	6/14/2020
Alan Levy	Date
Kyan Schwarz	6/14/2020
Ryan Schwarz	Date
Sury Em & Apr	6/14/2020
Joseph Swedish	Date
Jonathan Symonds	6/14/2020
Jonathan Symonds	Date
Land	6/14/2020
Immanuel Thangaraj	Date
andy thompson	6/14/2020
Andrew Thompson	Date

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Fill in this info	ormation to identify the case and th	is filing:
Debtor Name	Proteus Digital Health, Inc.	
United States Ba	ankruptcy Court for the:	District of Delaware (State)
Case number (If	known):	(=1313)

Official Form 202

Declaration Under Penalty of Perjury for Non-Individual Debtors

12/15

An individual who is authorized to act on behalf of a non-individual debtor, such as a corporation or partnership, must sign and submit this form for the schedules of assets and liabilities, any other document that requires a declaration that is not included in the document, and any amendments of those documents. This form must state the individual's position or relationship to the debtor, the identity of the document, and the date. Bankruptcy Rules 1008 and 9011.

WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

Declaration and signature

I am the president, another officer, or an authorized agent of the corporation; a member or an authorized agent of the partnership; or another individual serving as a representative of the debtor in this case.

I have examined the information in the documents checked below and I have a reasonable belief that the information is true and correct:

	Schedule A/B: Assets-Real and Personal Property (Official Form 206A/B)						
	Schedule D: Creditors Who Have Claims Secured by Property (Official Form 206D)						
	Schedule E/F: Creditors Who Have Unsecured Claims (Official Form 206E/F)						
	Schedule G: Executory Contracts and Unexpired Leases (Official Form 206G)						
	Schedule H: Codebtors (Official Form 206H)						
	Summary of Assets and Liabilities for Non-Individuals (Official Form 206Sum)						
	Amended Schedule						
X	Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders (Official Form 20						
X	Other document that requires a declarationCorporate Ownership Statement and List of Equity Security Holders						
	are under penalty of perjury that the foregoing is true and correct. Uted on MM / DD / YYYY Signature of individual signing on behalf of debtor						
	Lawrence R. Perkins						
	Printed name						
	Interim Chief Executive Officer						

Position or relationship to debtor

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Fill in this information to identify	the case:
Debtor name	Proteus Digital Health, Inc.
United States Bankruptcy Case number (If known):	Court for the: <u>District of Delaware</u>

Check if this is an amended filing

Official Form 204

Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders

A list of creditors holding the 20 largest unsecured claims must be filed in a Chapter 11 or Chapter 9 case. Include claims which the debtor disputes. Do not include claims by any person or entity who is an *insider*, as defined in 11 U.S.C. § 101(31). Also, do not include claims by secured creditors, unless the unsecured claim resulting from inadequate collateral value places the creditor among the holders of the 20 largest unsecured claims.

12/15

	Name of creditor and complete mailing address, including zip code	Name, telephone number, and email address of creditor contact	claim (for	Indicate if claim is contingent, unliquidated, or disputed	Amount of unsecured claim If the claim is fully unsecured, fill in only claim amount. If claim is partially secured total claim amount and deduction for valu collateral or setoff to calculate unsecured		ally secured, fill in on for value of
					Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
1	PREI'S Westport Office Park	Leslie E. Cook lcook@lfrep.com 650.489.7951	Trade				\$1,035,304.76
2	Romaco North America, Inc.	Michelle Silvestri Michelle.Silvestri@romaco.com 609-584-2500	Trade				\$510,848.80
3	Otsuka America Pharmaceutical, Inc.	Paul McCrorey Paul.McCrorey@otsuka-us.com 301-990-0030	Deferred Revenue	Contingent, Unliquidated			\$397,721.00
4	Workday, Inc.	Liz Morgan liz.morgan@workday.com 385-715-7127	Trade				\$288,000.00
6	Xcelience, LLC	Ron Doyle ronald.doyle@lonza.com 201 249-8450	Trade				\$215,616.81
5	K&L Gates LLP	Tiffany Doyle Tiffany.Doyle@klgates.com 412-355-6500	Trade				\$199,192.26
8	ExpoIT LLC	Tony Cerqueira Tony.Cerqueira@expoIT 603-329-3703	Trade				\$104,004.18

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Debtor	Proteus Digital Health, Inc.	Case number (if known)	
	Name	, -	

Name of creditor and complete mailing address, including zip code	Name, telephone number, and email address of creditor contact	Nature of the claim (for example, trade debts, bank loans, professional services, and government contracts)	im (for umple, trade obts, bank ns, fessional vices, and vernment		Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim.		
				Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim	
9 B. Riley FBR, Inc.	Elizabeth Rubio erubio@brileyfbr.com 703-469-1157	Trade				\$98,789.77	
10 Infostretch	Milind Damle finance@infostretch.com 408-727-1100	Trade				\$98,661.01	
7 DeWinter Group, Inc.	Tetyana Mazhan tmazhna.dewintergroup.com 408-827-3978	Trade				\$93,948.75	
11 cPrime Inc.	Ingrid Brock ingrid.brock@cprime.com 650-931-1646	Trade				\$72,935.45	
13 Fairview Pharmacy Services	Claire Kolar ckolar1@fairview.org 612-617-3782	Trade				\$61,650.00	
16 Cooley Godward Kronish LLP	Barbara McKnew bmcknew@cooley.com 202-842-7808	Trade				\$59,332.30	
14 Robert Bosch North America	Ramesh Sigamani Ramesh.Sigamani@in.Bosch.com 91(422)67-64526	Trade				\$50,000.00	
15 Murgitroyd & Company LTD	Karen Winslade karen.winslade@murgitroyd.com 44(0)20 8688 3490	Trade				\$46,851.95	
17 Ring Central Inc.	Nand Parkeshwa parkeshwa.nand@ringcentral.com 650-642-9605	Trade				\$37,935.94	
18 Nelson	Lilith Mizgorski accountsreceivable@nelsonhr.com 408-429-7024	Trade				\$33,221.65	
12 Integron, Inc.	Nancy Peters nancy.peters@integron.com 585-426-6200	Trade				\$29,958.70	
19 Nexient LLC	Anmol Gupta anmol.gupta@nexient.com 732-662-8393	Trade				\$27,733.34	
Three Dimensional Resource Planning, LLC	Yuwen Wang yuwen.wang@3rpco.com 480.362.1776 x302	Trade				\$25,900.00	

IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE

	X		
	:		
In re:	:	Chapter 11	
	:		
PROTEUS DIGITAL HEALTH, INC.,	:	Case No. 20	()
	:		
Debtor. ¹	:		
	:		
	x		

CORPORATE OWNERSHIP STATEMENT PURSUANT TO FEDERAL RULES OF BANKRUPTCY PROCEDURE 1007 AND 7007.1

Pursuant to Rules 1007(a)(1) and 7007.1 of the Federal Rules of Bankruptcy Procedure, the above-captioned debtor and debtor in possession (the "<u>Debtor</u>") respectfully represents that the following is a complete list of all corporations, other than governmental units, that directly or indirectly own 10% of more of any class of its equity interest:

Series A Preferred

Name	Percentage	
Spring Ridge Ventures I, L.P.	98.667%	

Series B Preferred

Name	Percentage	
Adams Street V, L.P.	11.54%	
BVCF IV, L.P.	11.54%	
Carlyle Venture Partners II,	36.94%	
L.P.		

The last four digits of the Debtor's taxpayer identification number are 2680. The Debtor's corporate headquarters is located at 2600 Bridge Parkway, Redwood City, California 94065.

Series C Preferred

Name	Percentage	
Carlyle Venture Partners II, L.P.	14.42%	
Essex Woodlands Health Ventures Fund VI, L.P.	39.68%	
PaceSetter, Inc.	14.97%	

Series D Preferred

Name	Percentage
Medtronic, Inc.	31.20%
PaceSetter, Inc.	23.40%

Series E Preferred

Name	Percentage	
Medtronic, Inc.	19.65%	
Novartis Pharma AG	58.94%	
Semiconductor Components Industries, LLC	19.65%	

Series F Preferred

Name	Percentage	
Intelligent Health Limited	15.34%	
Novartis Pharma AG	12.14%	
OC Acquisition LLC	12.78%	
Otsuka Pharmaceutical Co., Ltd.	38.35%	

Series G Preferred

Name	Percentage	
Clipperbay & Co.	20%	
Keymount Investments Limited	25%	
PepsiCo, Inc.	10.00%	
Sensor International Investment Ltd.	10.10%	

Series H Preferred

Name	Percentage		
GLORIA (HONGKONG)	22.90%		
CO., LIMITED	22.90%		
Otsuka Pharmaceutical Co.,	52 120/		
Ltd.	53.13%		
Shanghai Sailing Boda Keer	22.00%		
Investment Fund Limited	22.90%		

IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE

	X	
	:	
In re:	:	Chapter 11
	:	_
PROTEUS DIGITAL HEALTH, INC.,	:	Case No. 20 ()
	:	
Debtor. ¹	:	
	:	
	X	

LIST OF EQUITY SECURITY HOLDERS

This **Exhibit A** attached hereto serves as the disclosure required to be made by the Debtor pursuant to Rule 1007 of the Federal Rules of Bankruptcy Procedure. All equity positions listed on **Exhibit A** are as of the date of commencement of the chapter 11 case according to the Debtor's books and records.

The last four digits of the Debtor's taxpayer identification number are 2680. The Debtor's corporate headquarters is located at 2600 Bridge Parkway, Redwood City, California 94065.

Exhibit A

(List of Equity Security Holders)

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
1199 Health Care Employees' Pension Fund	One Presidential Blvd., 4th Floor, Bala Cynwyd PA, United States 19004	Series C Preferred	6,467
1199 Health Care Employees' Pension Fund	One Presidential Blvd., 4th Floor, Bala Cynwyd PA, United States 19004	Series B Preferred	728
1199 Health Care Employees' Pension Fund	One Presidential Blvd., 4th Floor, Bala Cynwyd PA, United States 19004	Series D Preferred	180
Abdel-aleem, Salah	9 Apple Blossom Lane, Ithaca NY, United States 14850	Common	10,000
Adams Street V, L.P.	c/o Adams Street Partners, 1 N Wacker Drive, Suite 2200, Chicago IL, United States 60606	Series B Preferred	1,388,889
Adams Street V, L.P.	c/o Adams Street Partners, 1 N Wacker Drive, Suite 2200, Chicago IL, United States 60606	Series C Preferred	703,858
Adams Street V, L.P.	c/o Adams Street Partners, 1 N Wacker Drive, Suite 2200, Chicago IL, United States 60606	Series D Preferred	173,024

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Adams Street V, L.P.	c/o Adams Street Partners, 1 N Wacker Drive, Suite 2200, Chicago IL, United States 60606	Series F Preferred	1,658
Addis, Bruce	905 Emerald Hill Road, Redwood City CA, United States 94061	Common	21,150
Affinity Ventures IV, L.P.	901 Marquette Avenue, Suite 2820, Minneapolis MN, United States 55402	Series C Preferred	500,000
Affinity Ventures IV, L.P.	901 Marquette Avenue, Suite 2820, Minneapolis MN, United States 55402	Series D Preferred	136,364
Affinity Ventures IV, L.P.	901 Marquette Avenue, Suite 2820, Minneapolis MN, United States 55402	Series F Preferred	4,593
Anderson, Edward T	950 Winter St., North Entrance, Waltham MA, United States 02451-1226	Series C Preferred	646
Anderson, Edward T	950 Winter St., North Entrance, Waltham MA, United States 02451-1226	Series B Preferred	72

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Anderson, Edward T	950 Winter St., North Entrance, Waltham MA, United States 02451-1226	Series D Preferred	18
Andrew & Sylvia Thompson Family Trust	840 Westridge Drive, Portola Valley CA, United States 94028-7335	Common	30,802
Andrew & Sylvia Thompson Family Trust	840 Westridge Drive, Portola Valley CA, United States 94028-7335	Series F Preferred	10,291
Andrews, Scott	55 Claremont Ave., Apt 201, Redwood City CA, United States 94062	Common	40,897
Anpilogov, Andrei	4867 Pine Forest Place, San Jose CA, United States 95118	Common	3,222
Apfelberg, David	1426 Pitman Avenue, Palo Alto CA, United States 94301	Common	371
Arayata, Rommell	804 N Museo Dr, Mountain View CA, United States 95391	Common	10,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Aria, Behrad	234 Puddingstone Road, Alameda CA, United States 94502	Common	30,000
Arne, Lawrence	250 Iris Way, Palo Alto CA, United States 94303	Common	112,540
Asset Management Partners 2004 L.P.	2100 Geng Road, Suite 200, Palo Alto CA, United States 94303	Series B Preferred	1,111,111
Asset Management Partners 2004 L.P.	2100 Geng Road, Suite 200, Palo Alto CA, United States 94303	Series C Preferred	625,000
Asset Management Partners 2004 L.P.	2100 Geng Road, Suite 200, Palo Alto CA, United States 94303	Series D Preferred	63,636
Asset Management Partners 2004 L.P.	2100 Geng Road, Suite 200, Palo Alto CA, United States 94303	Series F Preferred	4,448
Au-Yeung, Kit Yee	250 King Street #1510, San Francisco CA, United States 94107	Common	61,760

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Awadalla, Oaday	536 A Edna St, San Francisco CA, United States 94112	Common	845
Ayer Lighthouse Capital Partners V, L.P.	P.O. Box 5600, Beverly Farms MA, United States 01915	Series C Preferred	3,864
Ayer Lighthouse Capital Partners V, L.P.	P.O. Box 5600, Beverly Farms MA, United States 01915	Series B Preferred	435
Ayer Lighthouse Capital Partners V, L.P.	P.O. Box 5600, Beverly Farms MA, United States 01915	Series D Preferred	107
Azar, Arezou	3900 N. Charles Street, Apt. 209, Baltimore MD, United States 21218	Common	9,778
Azevedo, Robert	814 Washington Ave, Albany CA, United States 94706	Common	20,000
Balachandran, Nikhil	581 Center St, Walnut Creek CA, United States 94595	Common	2,500

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Balachandran, Thiruparan	3585 Monroe St., Apt 360, Santa Clara CA, United States 95051	Common	7,200
Banerji, Shumeet	43 Alma Square, London, United Kingdom NW8 9PY	Common	40,000
Bang Murray, Kathleen	PO Box 1925, Carmel CA, United States 93921	Common	100,000
Bang, Gary R.	875-A Island Drive #370, Alameda CA, United States 94502	Series B Preferred	27,778
Bang, Gary R.	875-A Island Drive #370, Alameda CA, United States 94502	Series C Preferred	10,154
Bang, Gary R.	875-A Island Drive #370, Alameda CA, United States 94502	Series D Preferred	7,636
Banos, Orlando	1184 Skylake Ct, Sunnyvale CA, United States 94089	Common	4,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Barnes Family Trust, Dated May 20, 2003, John F. Barnes III and Anna C. Barnes Trustees	360 Claremont Way, Menlo Park CA, United States 94025	Series C Preferred	1,846
Barnes Family Trust, Dated May 20, 2003, John F. Barnes III and Anna C. Barnes Trustees	360 Claremont Way, Menlo Park CA, United States 94025	Series B Preferred	207
Barnes Family Trust, Dated May 20, 2003, John F. Barnes III and Anna C. Barnes Trustees	360 Claremont Way, Menlo Park CA, United States 94025	Series D Preferred	51
Basler, Bradley	230 Hillcrest Road, San Carlos CA, United States 94070	Common	8,507
Beaulieu, Patrick	7094 Brooktree Way, San Jose CA, United States 95120	Common	68,889
Beauville, Eric	6876 Buttonwood Lane, Goleta CA, United States 93117	Common	2,000
Behzadi (consultant), Yashar	352 South Mohler Drive, Anaheim CA, United States 92808	Common	43,579

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Behzadi, Yashar	352 South Mohler Drive, Anaheim CA, United States 92808	Common	70,995
Bell Atlantic Master Trust	One Verizon Way, Basking Ridge NJ, United States 07920	Series C Preferred	19,402
Bell Atlantic Master Trust	One Verizon Way, Basking Ridge NJ, United States 07920	Series B Preferred	2,185
Bell Atlantic Master Trust	One Verizon Way, Basking Ridge NJ, United States 07920	Series D Preferred	542
Berberich, Joy	5967 Via Del Cielo, Pleasanton CA, United States 94566	Common	104,962
Berberich, Joy	5967 Via Del Cielo, Pleasanton CA, United States 94566	Series F Preferred	2,034
Berstein, Alberto	809 Auzeraid Avenue #107, San Jose CA, United States 95126	Common	12,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
BG Special Situations LP	10 Mill Pond Lane, Simsbury CT, United States 06070	Series C Preferred	12,935
BG Special Situations LP	10 Mill Pond Lane, Simsbury CT, United States 06070	Series B Preferred	1,457
BG Special Situations LP	10 Mill Pond Lane, Simsbury CT, United States 06070	Series D Preferred	361
Bi, Yafei	43303 Gallegos Avenue, Fremont CA, United States 94539	Common	17,021
Bjeletich, Peter	260 South Sea Way, Livermore CA, United States 94550	Common	24,000
BlackRock Long-Horizon Equity Fund	c/o BlackRock International Limited Drapers Gardens 12 Throgmorton Avenue, London, United Kingdom EC2N2DL	Series G Preferred	532,725
Bolsakov, Eldar	4500 The Woods Drive #533, San Jose CA, United States 95136	Common	14,300

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Boos, Constance	1592 South Bernardo Avenue, Sunnyvale CA, United States 94086	Common	3,542
Boser, Bernhard	955 Creston Rd., Berkeley CA, United States 94708	Common	2,000
Bozicevic, Field Francisc LLP	1900 University Avenue, Suite 200, East Palo Alto CA, United States 94303	Series D Preferred	9,091
Brooks, James	Stanford University Medical Center, Stanford CA, United States 94305	Common	5,938
Bross, Matt	7 Hillside Court, Wentzville MO, United States 63385	Common	308,029
Bross, Matt	7 Hillside Court, Wentzville MO, United States 63385	Series F Preferred	101,737
Brown University	121 South Main Street, Floor 9, Providence RI, United States 02903	Series C Preferred	6,467

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Brown University	121 South Main Street, Floor 9, Providence RI, United States 02903	Series B Preferred	728
Brown University	121 South Main Street, Floor 9, Providence RI, United States 02903	Series D Preferred	180
Bucher, Daniel	581 Arlington Ave, Berkeley CA, United States 94707	Common	12,595
Burtin, Claudio	c/o Spectrum Advisory Services, 1050 Crown Point Parkway, Suite 750, Atlanta GA, United States 30338	Series C Preferred	25,000
Butler, James	105 13th Street, Columbus GA, United States 31901	Common	37,208
Butler, James	105 13th Street, Columbus GA, United States 31901	Series F Preferred	1,796
BVCF IV, L.P.	c/o Adams Street Partners, 1 N. Wacker Drive, Suite 2200, Chicago IL, United States 60606	Series B Preferred	1,388,889

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
BVCF IV, L.P.	c/o Adams Street Partners, 1 N. Wacker Drive, Suite 2200, Chicago IL, United States 60606	Series C Preferred	703,858
BVCF IV, L.P.	c/o Adams Street Partners, 1 N. Wacker Drive, Suite 2200, Chicago IL, United States 60606	Series D Preferred	173,024
BVCF IV, L.P.	c/o Adams Street Partners, 1 N. Wacker Drive, Suite 2200, Chicago IL, United States 60606	Series F Preferred	1,658
Cabaluna, Glenda	34864 Mission Blvd Apt #141, Union City CA, United States 94587	Common	479
Cadwell, Cynthia	2710 Grand Ave #62, San Diego CA, United States 92109	Common	16,125
Cardiovascular Medicine, Cardiac Arrythmias Profit Sharing Plan, FBO R. Hardwin Mead	R. Hardwin Mead, 940 Roble Ridge Road, Palo Alto CA, United States 94306	Series B Preferred	33,333
Cardiovascular Medicine, Cardiac Arrythmias Profit Sharing Plan, FBO R. Hardwin Mead	R. Hardwin Mead, 940 Roble Ridge Road, Palo Alto CA, United States 94306	Series C Preferred	12,185

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Cardiovascular Medicine, Cardiac Arrythmias Profit Sharing Plan, FBO R. Hardwin Mead	R. Hardwin Mead, 940 Roble Ridge Road, Palo Alto CA, United States 94306	Series D Preferred	3,763
Carlyle Venture Partners II, L.P.	The Carlyle Group 1001 Pennsylvania Avenue, NW Suite 220 South, Washington DC, United States 20004-2505	Series B Preferred	4,281,267
Carlyle Venture Partners II, L.P.	The Carlyle Group 1001 Pennsylvania Avenue, NW Suite 220 South, Washington DC, United States 20004-2505	Series C Preferred	2,408,213
Carlyle Venture Partners II, L.P.	The Carlyle Group 1001 Pennsylvania Avenue, NW Suite 220 South, Washington DC, United States 20004-2505	Series D Preferred	553,073
Carlyle Venture Partners II, L.P.	The Carlyle Group 1001 Pennsylvania Avenue, NW Suite 220 South, Washington DC, United States 20004-2505	Series F Preferred	352,377
Carlyle Venture Partners II, L.P.	The Carlyle Group 1001 Pennsylvania Avenue, NW Suite 220 South, Washington DC, United States 20004-2505	Common	296,725
Chan, James	20B, Ho King View 2 Braemar Hill Road, North Point, Hong Kong	Series G Preferred	76,103
Chang, Andrew	2708 Chinook Ct, Union City CA, United States 94587	Common	2,108

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Chang, Inchu	2708 Chinook Ct, Union City CA, United States 94587	Common	6,446
Chang, Lloyd	775 Grove Street, San Francisco CA, United States 94102	Common	19,937
Chao, Andrew	737 Chavel Court, Fremont CA, United States 94539	Common	15,000
Charlotte Investor I(B), L.P.	One Financial Center, 44th Floor, Boston MA, United States 02111	Series C Preferred	13,092
Charlotte Investor I(B), L.P.	One Financial Center, 44th Floor, Boston MA, United States 02111	Series B Preferred	1,474
Charlotte Investor I(B), L.P.	One Financial Center, 44th Floor, Boston MA, United States 02111	Series D Preferred	365
Chatman, PuiMan	1267 Devonshire Avenue, San Leandro CA, United States 94579	Common	2,125

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Chee, Kenneth	33666 Stallion Street, Fremont California, United States 94555	Common	1,100
Chen, Xiaolin	536 Wycombe Ct., San Ramon CA, United States 94583	Common	9,718
Cheng, Kevin	331 Rolling Hills Ave, San Mateo CA, United States 94403	Common	13,200
Chien-Chi, Annie	237 Commons Lane, Foster City CA, United States 94404	Common	2,687
Christen, Markus	425 Pinehill Road, Hillsborough CA, United States 94010	Common	350,000
Chronos, Nic	139 Dudley Court, Atlanta GA, United States 30327	Series C Preferred	50,000
Chu, Andy	322 19th Ave, Apt #3, San Francisco CA, United States 94121	Common	7,596

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Clipperbay & Co.	333 South Hope Street, 34th Floor, Los Angeles CA, United States 90071	Series G Preferred	3,044,139
Coit, Stephen E	24 Francis Ave., Cambridge MA, United States 02138	Series C Preferred	161
Coit, Stephen E	24 Francis Ave., Cambridge MA, United States 02138	Series B Preferred	18
Coit, Stephen E	24 Francis Ave., Cambridge MA, United States 02138	Series D Preferred	4
Cojuangco Abraham, Danielle	1531 Grove Street, San Francisco CA, United States 94117	Common	14,085
Cole, Suzanne & Doug	47 Stonehenge Drive, New Canaan CT, United States 06840	Series C Preferred	64
Cole, Suzanne & Doug	47 Stonehenge Drive, New Canaan CT, United States 06840	Series B Preferred	7

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Cole, Suzanne & Doug	47 Stonehenge Drive, New Canaan CT, United States 06840	Series D Preferred	1
Colliou, Olivier	16141 Roseleaf Lane, Los Gatos CA, United States 95032	Common	106,563
Condorcet GP, LLC	350 E. 79th, #29C, New York NY, United States 10075	Series F Preferred	60,000
Conneely Family Trust	PO Box 1708, Sausalito CA, United States 94966	Series C Preferred	615
Conneely Family Trust	PO Box 1708, Sausalito CA, United States 94966	Series B Preferred	69
Conneely Family Trust	PO Box 1708, Sausalito CA, United States 94966	Series D Preferred	17
Costello, Benedict	218 San Carlos Ave, Piedmont CA, United States 94611	Common	49,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Couch, Julie Norris	112 Garden Creek Place, Danville CA, United States 94526	Series C Preferred	80
Couch, Julie Norris	112 Garden Creek Place, Danville CA, United States 94526	Series B Preferred	9
Couch, Julie Norris	112 Garden Creek Place, Danville CA, United States 94526	Series D Preferred	2
Couch-Kanner 2008 Trust dated May 6, 2008 (eff. 4/1/10)	P.O. Box 1260, Fairport NY, United States 14450	Series C Preferred	80
Couch-Kanner 2008 Trust dated May 6, 2008 (eff. 4/1/10)	P.O. Box 1260, Fairport NY, United States 14450	Series B Preferred	9
Couch-Kanner 2008 Trust dated May 6, 2008 (eff. 4/1/10)	P.O. Box 1260, Fairport NY, United States 14450	Series D Preferred	2
Cozad, Bradford	36163 Bayonne Drive, Newark CA, United States 94560	Common	37,650

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Crandall, Kenneth	948 Bellome Ave, Sunnyvale CA, United States 94086	Common	16,624
Crews, Robert B	740 Panoramic Highway, Mill Valley CA, United States 94941	Series C Preferred	153
Crews, Robert B	740 Panoramic Highway, Mill Valley CA, United States 94941	Series B Preferred	17
Crews, Robert B	740 Panoramic Highway, Mill Valley CA, United States 94941	Series D Preferred	4
Crow Family Trust U/T/A 3/2/00	7 Acorn Way, Kentfield CA, United States 94904	Series C Preferred	129
Crow Family Trust U/T/A 3/2/00	7 Acorn Way, Kentfield CA, United States 94904	Series B Preferred	14
Crow Family Trust U/T/A 3/2/00	7 Acorn Way, Kentfield CA, United States 94904	Series D Preferred	3

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Cuyahoga Capital Partners II, L.P.	Skylight Office Tower 1660 West Second St., Suite 940, Cleveland OH, United States 44113	Series C Preferred	970
Cuyahoga Capital Partners II, L.P.	Skylight Office Tower 1660 West Second St., Suite 940, Cleveland OH, United States 44113	Series B Preferred	109
Cuyahoga Capital Partners II, L.P.	Skylight Office Tower 1660 West Second St., Suite 940, Cleveland OH, United States 44113	Series D Preferred	27
Cuyahoga Capital Partners III, L.P.	Skylight Office Tower 1660 West Second St., Suite 940, Cleveland OH, United States 44113	Series C Preferred	8,731
Cuyahoga Capital Partners III, L.P.	Skylight Office Tower 1660 West Second St., Suite 940, Cleveland OH, United States 44113	Series B Preferred	983
Cuyahoga Capital Partners III, L.P.	Skylight Office Tower 1660 West Second St., Suite 940, Cleveland OH, United States 44113	Series D Preferred	243
CVF, LLC	222 N. La Salle Street, Suite 2000, Chicago IL, United States 60601	Series G Preferred	380,517

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
CVP II Coinvestment, L.P.	The Carlyle Group 1001 Pennsylvania Avenue, NW Suite 220 South, Washington DC, United States 20004-2505	Series B Preferred	163,177
CVP II Coinvestment, L.P.	The Carlyle Group 1001 Pennsylvania Avenue, NW Suite 220 South, Washington DC, United States 20004-2505	Series C Preferred	91,787
CVP II Coinvestment, L.P.	The Carlyle Group 1001 Pennsylvania Avenue, NW Suite 220 South, Washington DC, United States 20004-2505	Series D Preferred	21,080
CVP II Coinvestment, L.P.	The Carlyle Group 1001 Pennsylvania Avenue, NW Suite 220 South, Washington DC, United States 20004-2505	Series F Preferred	13,429
CVP II Coinvestment, L.P.	The Carlyle Group 1001 Pennsylvania Avenue, NW Suite 220 South, Washington DC, United States 20004-2505	Common	11,304
CW Venture Fund III, LLC	70 Federal St., 7th Floor, Boston MA, United States 02110	Series C Preferred	646
CW Venture Fund III, LLC	70 Federal St., 7th Floor, Boston MA, United States 02110	Series B Preferred	72

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
CW Venture Fund III, LLC	70 Federal St., 7th Floor, Boston MA, United States 02110	Series D Preferred	18
Dalal, Ritesh	1096 Doheny Ter, Sunnyvale CA, United States 94085	Common	18,333
D'Amore, Richard A	PERSONAL - Attn: Betty Hughes 950 Winter St., North Entrance, Waltham MA, United States 02451	Series C Preferred	1,940
D'Amore, Richard A	PERSONAL - Attn: Betty Hughes 950 Winter St., North Entrance, Waltham MA, United States 02451	Series B Preferred	218
D'Amore, Richard A	PERSONAL - Attn: Betty Hughes 950 Winter St., North Entrance, Waltham MA, United States 02451	Series D Preferred	54
Dao, John	50 Saratoga Ave Apt 125, Santa Clara CA, United States 95051	Common	100
David Anthony Fera and Christine Marie Fera, Trustees under the Fera Trust Agreement dated October 16, 2012	1381 Rosewood Ave., San Carlos CA, United States 94070	Series C Preferred	153

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
David Anthony Fera and Christine Marie Fera, Trustees under the Fera Trust Agreement dated October 16, 2012	1381 Rosewood Ave., San Carlos CA, United States 94070	Series B Preferred	17
David Anthony Fera and Christine Marie Fera, Trustees under the Fera Trust Agreement dated October 16, 2012	1381 Rosewood Ave., San Carlos CA, United States 94070	Series D Preferred	4
David B. Apfelberg and Susan M. Apfelberg TTEE, David B. and Susan M. Apfelberg Living Trust U/A Dated 1/15/87	1426 Pitman Avenue, Palo Alto CA, United States 94301	Common	5,297
Davoli, Robert E	20 Custom House St., Suite 830, Boston MA, United States 02110	Series C Preferred	323
Davoli, Robert E	20 Custom House St., Suite 830, Boston MA, United States 02110	Series B Preferred	36
Davoli, Robert E	20 Custom House St., Suite 830, Boston MA, United States 02110	Series D Preferred	9
Deanna D'Amore Trust dtd 1/1/96	PERSONAL - Attn: Betty Hughes 950 Winter St., North Entrance, Waltham MA, United States 02451	Series C Preferred	194

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Deanna D'Amore Trust dtd 1/1/96	PERSONAL - Attn: Betty Hughes 950 Winter St., North Entrance, Waltham MA, United States 02451	Series B Preferred	21
Deanna D'Amore Trust dtd 1/1/96	PERSONAL - Attn: Betty Hughes 950 Winter St., North Entrance, Waltham MA, United States 02451	Series D Preferred	5
Diep, Diem Trang	492 Dempsey Rd, #194, Milpitas CA, United States 95035	Common	3,000
Dillingham, Michael	2884 Sand Hill Road, Suite 110, Menlo Park CA, United States 94025	Common	4,049
Doepping-Ruggio, Cheryl	27301 Mondano Drive, Mission Viejo CA, United States 92692	Common	125,000
Doepping-Ruggio, Cheryl	27301 Mondano Drive, Mission Viejo CA, United States 92692	Series A Preferred	10,000
Doepping-Ruggio, Cheryl	27301 Mondano Drive, Mission Viejo CA, United States 92692	Series C Preferred	3,655

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Doepping-Ruggio, Cheryl	27301 Mondano Drive, Mission Viejo CA, United States 92692	Series D Preferred	1,129
Dog Hill Partners, L.P.	30 Glen Alpine, Danville CA, United States 94526	Series C Preferred	485
Dog Hill Partners, L.P.	30 Glen Alpine, Danville CA, United States 94526	Series B Preferred	54
Dog Hill Partners, L.P.	30 Glen Alpine, Danville CA, United States 94526	Series D Preferred	13
Dougherty, Nancy	60 Rausch St. Unit 410, San Francisco CA, United States 94103	Common	9,742
Dover Street VII, L.P.	One Financial Center, 44th Floor, Boston MA, United States 02111	Series C Preferred	16,169
Dover Street VII, L.P.	One Financial Center, 44th Floor, Boston MA, United States 02111	Series B Preferred	1,821

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Dover Street VII, L.P.	One Financial Center, 44th Floor, Boston MA, United States 02111	Series D Preferred	451
Doyle, Shiela M	327 Gordon Avenue, San Jose CA, United States 95127	Common	17,953
Dua, Aditya	15231 Clydelle Avenue, San Jose CA, United States 95124	Common	14,084
Duck, Robert	1800 Washington St #118, San Francisco CA, United States 94109	Common	45,944
Dunlap, Jason	2818 Lantz Avenue, San Jose CA, United States 95124	Common	4,583
Duong, Kieu Jessie	1413 Mercado Way, San Jose CA, United States 95134	Common	9,142
Edward L. Carcamo, Trustee of the Edward L. Carcamo Trust dated October 26, 2011	380 Vallejo Drive #122, Millbrae CA, United States 94030	Common	30,160

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Emil and Patricia Wang Trust	1740 Poppy Ave., Menlo Park CA, United States 94025	Series C Preferred	97
Emil and Patricia Wang Trust	1740 Poppy Ave., Menlo Park CA, United States 94025	Series B Preferred	10
Emil and Patricia Wang Trust	1740 Poppy Ave., Menlo Park CA, United States 94025	Series D Preferred	2
Emmant LLC	335 Bryant Street, 3rd Floor, Palo Alto CA, United States 94301	Series C Preferred	142,957
Emmant LLC	335 Bryant Street, 3rd Floor, Palo Alto CA, United States 94301	Series D Preferred	11,819
Emmant LLC	335 Bryant Street, 3rd Floor, Palo Alto CA, United States 94301	Common	10,866
Emmant LLC	335 Bryant Street, 3rd Floor, Palo Alto CA, United States 94301	Series F Preferred	8,898

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Emmant LLC	335 Bryant Street, 3rd Floor, Palo Alto CA, United States 94301	Series E Preferred	8,620
Emmant LLC	335 Bryant Street, 3rd Floor, Palo Alto CA, United States 94301	Series G Preferred	7,610
EquityZen Growth Technology Fund LLC	222 Broadway, 19th Floor, New York NY, United States 10038	Common	10,743
EquityZen Growth Technology Fund LLC - Series 199	10 West 18th Street, 7th Floor, New York NY, United States 10011	Common	15,947
EquityZen Growth Technology Fund LLC - Series 333	45 West 27th Street, Suite 200, New York NY, United States 10001	Common	32,695
Essex Woodlands Health Ventures Fund VI, L.P.	21 Waterway Avenue, Suite 225, The Woodlands TX, United States 77380	Series C Preferred	6,625,000
Essex Woodlands Health Ventures Fund VI, L.P.	21 Waterway Avenue, Suite 225, The Woodlands TX, United States 77380	Series D Preferred	547,742

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Essex Woodlands Health Ventures Fund VI, L.P.	21 Waterway Avenue, Suite 225, The Woodlands TX, United States 77380	Common	308,029
Essex Woodlands Health Ventures Fund VI, L.P.	21 Waterway Avenue, Suite 225, The Woodlands TX, United States 77380	Series F Preferred	29,209
Estate of James T. Callier	Attn: Michael E. Garlington, Vice President, Estate Administration Northern Trust 1515 Ringling Boulevard, Sarasota FL, United States 34236	Series B Preferred	44,444
Estate of James T. Callier	Attn: Michael E. Garlington, Vice President, Estate Administration Northern Trust 1515 Ringling Boulevard, Sarasota FL, United States 34236	Series C Preferred	16,247
Evans, Cliff	P.O. Box 2370, Boulder Creek CA, United States 95006	Common	15,000
Evans, Todd	2086 East Lake Road, Atlanta GA, United States 30307	Series B Preferred	66,667
Evans, Todd	2086 East Lake Road, Atlanta GA, United States 30307	Series C Preferred	23,250

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Farias, Marvella	1078 El Capitan Terrace, Sunnyvale CA, United States 94086	Common	2,977
Farrell, Peter	9001 Spectrum Center Blvd., San Diego CA, United States 92123	Common	61,605
Farrell, Peter	9001 Spectrum Center Blvd., San Diego CA, United States 92123	Series F Preferred	21,744
Fernandez Barrera, Francisco Javier	1915 Maple Avenue, Apt 514, Evanston IL, United States 60201	Common	6,168
Fiduciary Trust International of Delaware Trustee for the Stertzer Gamma Trust Dated 12/20/2000	One Franklin Parkway, San Mateo CA, United States 94403-1906	Common	17,545
Fischer, Frank M.	86 Faxon Road, Atherton CA, United States 94027	Common	367,414
Fischer, Frank M.	86 Faxon Road, Atherton CA, United States 94027	Series B Preferred	27,778

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Fischer, Frank M.	86 Faxon Road, Atherton CA, United States 94027	Series C Preferred	10,154
Fischer, Frank M.	86 Faxon Road, Atherton CA, United States 94027	Series D Preferred	3,136
Fischer, Frank M.	86 Faxon Road, Atherton CA, United States 94027	Series F Preferred	572
Fish, James	2229 Market Street, San Francisco CA, United States 94114	Common	7,865
Fleming (TERM), Robert A.	5950 Lucas Valley Road, Nicasio CA, United States 94946	Common	22,917
Fleming, Robert A.	5950 Lucas Valley Road, Nicasio CA, United States 94946	Common	50,020
Fleming, Robert A.	5950 Lucas Valley Road, Nicasio CA, United States 94946	Series F Preferred	663

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Fletcher Spaght Venture Partners, LLC	Pearson M. Spaght, 222 Berkeley Street, 20th Floor, Boston MA, United States 02156	Series D Preferred	90,909
Fletcher Spaght Ventures, L.P.	Pearson M. Spaght, 222 Berkeley Street, 20th Floor, Boston MA, United States 02156	Series B Preferred	1,111,111
Fletcher Spaght Ventures, L.P.	Pearson M. Spaght, 222 Berkeley Street, 20th Floor, Boston MA, United States 02156	Series C Preferred	406,174
Fletcher Spaght Ventures, L.P.	Pearson M. Spaght, 222 Berkeley Street, 20th Floor, Boston MA, United States 02156	Series F Preferred	19,257
Fletcher Spaght Ventures, L.P.	Pearson M. Spaght, 222 Berkeley Street, 20th Floor, Boston MA, United States 02156	Series D Preferred	18,182
Flores Velez, Ana Maria	1965 Valparaiso Avenue, Menlo Park CA, United States 94025	Common	46,603
Foster, Brian	130 E San Fernando St., Unit 412, San Jose CA, United States 95112	Common	24,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Frank, Jeremy	1 Polk Street, Unit 1401, San Francisco CA, United States 94102	Common	51,000
Frank, Traci	56 Mizpah Street, San Francisco CA, United States 94131	Common	20,040
Fryhofer, George W.	1005 Buckingham Circle NW, Atlanta GA, United States 30327	Series B Preferred	55,556
Fryhofer, George W.	1005 Buckingham Circle NW, Atlanta GA, United States 30327	Series C Preferred	15,000
Fu, Amy	8702 Crest Basin Court, Las Vegas NV, United States 89123	Common	12,500
Fu, Duke	11430 Klavans Court, Las Vegas NV, United States 89183	Common	15,370
Fujitsu Semiconductor America, Inc.	1250 E Arques Ave, Sunnyvale CA, United States 94085	Series F Preferred	10,363

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
G&H Partners	One Marina Park Drive, Suite 900, Boston MA, United States 02210	Series C Preferred	64
G&H Partners	One Marina Park Drive, Suite 900, Boston MA, United States 02210	Series B Preferred	7
G&H Partners	One Marina Park Drive, Suite 900, Boston MA, United States 02210	Series D Preferred	1
Gandhi, Gaurav	3042 Pine Ridge Road, Oshkosh WI, United States 54904	Common	52,036
Gary & Kathleen Bang Trust, April 2, 2008	PO Box 1925, Carmel CA, United States 93921	Common	63,546
Gary & Kathleen Bang Trust, April 2, 2008	PO Box 1925, Carmel CA, United States 93921	Series E Preferred	43,100
Gary & Kathleen Bang Trust, April 2, 2008	PO Box 1925, Carmel CA, United States 93921	Series F Preferred	7,980

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Gary & Kathleen Bang Trust, April 2, 2008	PO Box 1925, Carmel CA, United States 93921	Series G Preferred	4,000
GC&H Investments, LLC	Jim Kindler Cooley LLP 101 California Street, 5th Floor, San Francisco CA, United States 94111	Series B Preferred	27,778
GC&H Investments, LLC	Jim Kindler Cooley LLP 101 California Street, 5th Floor, San Francisco CA, United States 94111	Series C Preferred	10,000
GC&H Investments, LLC	Jim Kindler Cooley LLP 101 California Street, 5th Floor, San Francisco CA, United States 94111	Series D Preferred	9,091
Gebhardt, Ursula	3 Cambridge Square, London, United Kingdom W2 2PS	Common	30,000
Gelfandbein, Vladimir	707 Continential #323, Mountain View CA, United States 94041	Common	31,530
George & Nancy Savage Living Trust	1180 Westridge Drive, Portola Valley CA, United States 94028	Common	30,802

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
George & Nancy Savage Living Trust	1180 Westridge Drive, Portola Valley CA, United States 94028	Series F Preferred	10,291
Giacchino, Jeannie	1830 Stockbridge Ave, Redwood City CA, United States 94061	Common	5,000
Gilman, Alexander	31 Spinnaker Place, Redwood Shores CA, United States 94065	Common	10,966
Gilpin, Kyle	33 Atlas Avenue #2, San Jose CA, United States 95126	Common	12,315
GLORIA (HONGKONG) CO., LIMITED	Attn: Hongbing Yang, CEO Harbin Gloria Pharmaceuticals Co., LTD Units 1102-03 11/F NINE QUEEN'S ROAD, CENTRAL HONG KONG, Hong Kong 00000	Series H Preferred	1,582,275
Gopinath, Sudhir	1524 Calle De Aida, San Jose CA, United States 95118	Common	39,037
Graham, Ginger	Two Tree Consulting Historic Highland Bu 885 Arapahoe Avenue, Boulder CO, United States 80302	Common	115,833

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Graham, Ginger	Two Tree Consulting Historic Highland Bu 885 Arapahoe Avenue, Boulder CO, United States 80302	Series D Preferred	9,091
Graham, Ginger	Two Tree Consulting Historic Highland Bu 885 Arapahoe Avenue, Boulder CO, United States 80302	Series E Preferred	8,621
Graves, Michael	2519 33rd Avenue, San Francisco CA, United States 94116	Common	7,500
Green Grasshopper LLC	10001 Westheimer Blvd., Suite 2888, Houston TX, United States 77042	Series G Preferred	3,805
Greene, Fiona	2266 Plummer Ave, San Jose CA, United States 95125	Common	10,800
Griffor, Jeff	100 Pine Street, Medfield MA, United States 02052	Series C Preferred	2,461
Griffor, Jeff	100 Pine Street, Medfield MA, United States 02052	Series B Preferred	277

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Griffor, Jeff	100 Pine Street, Medfield MA, United States 02052	Series D Preferred	68
H Investment Company LLC	Martin T. Hart, 2401 East 2nd Avenue Suite 250, Denver CO, United States 80206	Series B Preferred	277,778
H Investment Company LLC	Martin T. Hart, 2401 East 2nd Avenue Suite 250, Denver CO, United States 80206	Series C Preferred	125,000
H Investment Company LLC	Martin T. Hart, 2401 East 2nd Avenue Suite 250, Denver CO, United States 80206	Series D Preferred	9,091
Hafezi, Hooman	64 Eddystone Court, Redwood City CA, United States 94065	Common	78,632
Haggerty, Darren	13 Luiz Court, San Rafael CA, United States 94903	Series C Preferred	153
Haggerty, Darren	13 Luiz Court, San Rafael CA, United States 94903	Series B Preferred	17

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Haggerty, Darren	13 Luiz Court, San Rafael CA, United States 94903	Series D Preferred	4
Haniff, Tariq	1856 Hemlock Ave, San Mateo CA, United States 94401	Common	22,011
HarbourVest Partners VII - Mezzanine Fund L.P.	One Financial Center, 44th Floor, Boston MA, United States 02111	Series C Preferred	7,437
HarbourVest Partners VII - Mezzanine Fund L.P.	One Financial Center, 44th Floor, Boston MA, United States 02111	Series B Preferred	837
HarbourVest Partners VII - Mezzanine Fund L.P.	One Financial Center, 44th Floor, Boston MA, United States 02111	Series D Preferred	207
Hatamkhany, Zahedeh	1549 De Anza Blvd, San Mateo CA, United States 94403	Common	25,000
Hazen, C. Edward	4 Candleberry Lane, Belmont MA, United States 02478	Series C Preferred	10,462

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Hazen, C. Edward	4 Candleberry Lane, Belmont MA, United States 02478	Series B Preferred	1,178
Hazen, C. Edward	4 Candleberry Lane, Belmont MA, United States 02478	Series D Preferred	292
Healy, Lizabeth	PO Box 11914, San Rafael CA, United States 94912-1914	Series C Preferred	153
Healy, Lizabeth	PO Box 11914, San Rafael CA, United States 94912-1914	Series B Preferred	17
Healy, Lizabeth	PO Box 11914, San Rafael CA, United States 94912-1914	Series D Preferred	4
Heilweil, Marc	1285 Hampton Hall Drive, Atlanta GA, United States 30319-1972	Common	24,806
Heilweil, Marc	1285 Hampton Hall Drive, Atlanta GA, United States 30319-1972	Series F Preferred	1,197

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Henry, Victoria	158 Clipper Ln, Modesto CA, United States 95356	Common	14,675
Hernandez, Patricia	582 4th Ave., Redwood City CA, United States 94063	Common	3,201
Heslin, James M.	15 Isabella Avenue, Atherton CA, United States 94027	Series B Preferred	11,111
Heslin, James M.	15 Isabella Avenue, Atherton CA, United States 94027	Series E Preferred	4,310
Heslin, James M.	15 Isabella Avenue, Atherton CA, United States 94027	Series C Preferred	4,061
Heslin, James M.	15 Isabella Avenue, Atherton CA, United States 94027	Series D Preferred	3,636
Holen, Maria	2261 River Bed Ct, Santa Clara CA, United States 95054	Common	10,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Homcy, Charles	1293 Canyon Road, Geyserville CA, United States 95441	Common	4,000
Horney, John T.	567 Peachtree Battle Ave NW, Atlanta GA, United States 30305	Series B Preferred	55,556
Horney, John T.	567 Peachtree Battle Ave NW, Atlanta GA, United States 30305	Series C Preferred	18,000
Howard Hughes Medical Institute	4000 Jones Bridge Road, Chevy Chase MD, United States 20815-6789	Series C Preferred	16,169
Howard Hughes Medical Institute	4000 Jones Bridge Road, Chevy Chase MD, United States 20815-6789	Series B Preferred	1,821
Howard Hughes Medical Institute	4000 Jones Bridge Road, Chevy Chase MD, United States 20815-6789	Series D Preferred	451
Hsia, Eric	2708 Chinook Ct, Union City CA, United States 94587	Common	18,838

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Hutchison, James	4384 Silva Court, Palo Alto CA, United States 94306	Common	1,200
Hutton Living Trust	2 Santiago Avenue, Atherton CA, United States 94027	Common	30,796
Hutton Living Trust	2 Santiago Avenue, Atherton CA, United States 94027	Series F Preferred	1,326
Huynh, David Hung	191 N Park Victoria Drive, Milpitas CA, United States 95035	Common	19,860
Hyman, Barry	570 Chestnut Rose Lane, Atlanta GA, United States 30327	Common	24,806
Hyman, Barry	570 Chestnut Rose Lane, Atlanta GA, United States 30327	Series F Preferred	1,197
I.A.M. National Pension Fund	One Presidential Blvd., 4th Floor, Bala Cynwyd PA, United States 19004	Series C Preferred	3,233

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
I.A.M. National Pension Fund	One Presidential Blvd., 4th Floor, Bala Cynwyd PA, United States 19004	Series B Preferred	364
I.A.M. National Pension Fund	One Presidential Blvd., 4th Floor, Bala Cynwyd PA, United States 19004	Series D Preferred	90
Intelligent Health Limited	Suite 3501, 35/F 1010 HuaihaiZhong Road JiaHua Building, Shanghai, China 200031	Series F Preferred	2,068,965
Intondi, Allison	300 3rd Street Apt 409, San Francisco CA, United States 94107	Common	20,754
Ionescu, Arna	4122 Whitman Ave N, Seattle WA, United States 98103	Common	81,009
Islam, Mohammed	1881 Gillian Way, San Jose CA, United States 95132	Common	16,646
Ivanchenko, Ilya	1281 Petersen Ct, Los Altos CA, United States 94024	Common	32,258
Iyer, Nan	3410 Plateau Drive, Belmont CA, United States 94002	Common	11,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Jackson, Terry	881 Selby Lane, San Jose CA, United States 95127	Common	15,000
Jagpal, Harinder	4417 Calypson Terrace, Fremont CA, United States 94555	Common	5,813
James B. Hutchison & Diane R. Hutchison, Trustees of the Hutchison Trust Created on January 16, 2012	4384 Silva Court, Palo Alto CA, United States 94306	Common	73,515
Jani, Nilay	370 Elan Village Lane, Apt 207, San Jose CA, United States 95134	Common	68,974
Jarve Family Trust U/A/D 4/25/95	77 Flood Circle, Atherton CA, United States 94027	Series C Preferred	323
Jarve Family Trust U/A/D 4/25/95	77 Flood Circle, Atherton CA, United States 94027	Series B Preferred	36
Jarve Family Trust U/A/D 4/25/95	77 Flood Circle, Atherton CA, United States 94027	Series D Preferred	9

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Jensen, Marc	104 Strathmore Place, Los Gatos CA, United States 95031	Common	90,637
Jensen, Monique	P.O. Box 2126, Los Gatos CA, United States 95032	Common	18,666
Jin (TERM), Hong	521 Winding Brook Ct., San Ramon CA, United States 94582	Common	10,000
Jin Quan Limited	No. 28 Building, Ronghui Garden, Yuan hua Road, Konggang Airport Development Zone B, Shunyi District, Beijing, China 101318	Series G Preferred	228,119
Jin, Hong	521 Winding Brook Ct., San Ramon CA, United States 94582	Common	5,000
John J. & Wendy G. J. Troy	51 Fieldstone Road, Westwood MA, United States 02090	Series C Preferred	129
John J. & Wendy G. J. Troy	51 Fieldstone Road, Westwood MA, United States 02090	Series B Preferred	14

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
John J. & Wendy G. J. Troy	51 Fieldstone Road, Westwood MA, United States 02090	Series D Preferred	3
Johnson, Patricia	68 Fay Avenue, San Carlos CA, United States 94070	Common	93,864
Joseph, Wayne	1351 Margery Ave., San Leandro CA, United States 94578	Common	14,405
JRP Secondary Investments 2008-A, L.P. (fka OHIM)	201 North Main Street, Suite 1000, Fort Worth TX, United States 76102	Series C Preferred	3,076
JRP Secondary Investments 2008-A, L.P. (fka OHIM)	201 North Main Street, Suite 1000, Fort Worth TX, United States 76102	Series B Preferred	346
JRP Secondary Investments 2008-A, L.P. (fka OHIM)	201 North Main Street, Suite 1000, Fort Worth TX, United States 76102	Series D Preferred	85
Julian, Chris	546 Woodland Ridge, Los Gatos CA, United States 95033	Common	9,390

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Jurivich, Jennifer	1355 Pacific Ave #201, San Francisco CA, United States 94109	Common	2,000
Kaiser Foundation Hospitals	Kaiser Permanente Ventures, One Kaiser Plaza, 22nd Floor, Oakland CA, United States 94612	Series B Preferred	555,555
Kaiser Foundation Hospitals	Kaiser Permanente Ventures, One Kaiser Plaza, 22nd Floor, Oakland CA, United States 94612	Series C Preferred	203,087
Kaiser Foundation Hospitals	Kaiser Permanente Ventures, One Kaiser Plaza, 22nd Floor, Oakland CA, United States 94612	Series D Preferred	31,364
Kaiser Foundation Hospitals	Kaiser Permanente Ventures, One Kaiser Plaza, 22nd Floor, Oakland CA, United States 94612	Series F Preferred	25,475
Kao, Yih Jiuan		Common	8,554
Karplus, Erika	P.O. Box 2134, Silverthorne CO, United States 80498	Common	118,086
Kass, David	10971 Shadow Lane, Columbia MD, United States 21044	Common	4,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Katen, Karen	Apt 22D, 425 East 58th Street, New York NY, United States 10022	Series D Preferred	72,727
Katen, Karen	Apt 22D, 425 East 58th Street, New York NY, United States 10022	Common	22,410
Katen, Karen	Apt 22D, 425 East 58th Street, New York NY, United States 10022	Series F Preferred	10,111
Katen, Karen	Apt 22D, 425 East 58th Street, New York NY, United States 10022	Series E Preferred	8,621
Kenny, Thomas	Department of Mechanical Engineering, Terman 540, Stanford CA, United States 94305-4021	Common	540
Key Capital Corporation	127 Public Square, 13th Floor, Cleveland OH, United States 44114	Series C Preferred	4,527
Key Capital Corporation	127 Public Square, 13th Floor, Cleveland OH, United States 44114	Series B Preferred	510

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Key Capital Corporation	127 Public Square, 13th Floor, Cleveland OH, United States 44114	Series D Preferred	126
Keymount Investments Limited	Suites 4112-19 Jardine House, Central, Hong Kong	Series G Preferred	3,805,175
KFS Venture Capital Inc.	874 Walker Road, Suite C, Dover DE, United States 19904-2778	Series D Preferred	363,637
Khalina, Yulia	9 Hidden Way, Redwood City CA, United States 94062	Common	150
Klein, Elizabeth	555 Buena Vista Avenue West #501, San Francisco CA, United States 94117	Common	46,875
Kopyl, Ilya	1528 B Pershing Dr., San Francisco CA, United States 94129	Common	1,500
Kowahl, Nathan	4112 Puumalu Place, Honolulu HI, United States 96816	Common	4,817
Kraczkowsky, John	23260 Mora Heights Way, Los Altos Hills CA, United States 94024	Common	100

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Kryuchkov, Andrey	20279 Forest Avenue, Castro Valley CA, United States 94546	Common	9,787
Kubo, Spencer	3827 Zeinth Ave South, Minneapolis MN, United States 55410	Common	2,000
Kuhl, Rachel		Common	1,000
Kuhl, William		Common	1,000
Kushner (TERM), Cherie E.	5950 Lucas Valley Road, Nicasio CA, United States 94946	Common	22,917
Kushner, Cherie E.	5950 Lucas Valley Road, Nicasio CA, United States 94946	Common	50,020
Kushner, Cherie E.	5950 Lucas Valley Road, Nicasio CA, United States 94946	Series F Preferred	663
Lafayette, Bill	200 Glasgow Circle, Danville CA, United States 94526	Common	10,000
Lam, Alexander	1 Appian Way, #705-6, South San Francisco CA, United States 94080	Common	7,200

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Lam, Kien-Bang	3343 La Costa Way, San Jose CA, United States 95135	Common	20,000
Lam, Sang	216 N. Park Victoria Dr., Milpitas CA, United States 95035	Common	1,500
Lansdowne Developed Markets Master Fund Limited	15 Davies Street W1K 3AG, London, United Kingdom	Series G Preferred	913,242
Lansdowne Developed Markets Master Fund Limited	15 Davies Street W1K 3AG, London, United Kingdom	Series H Preferred	64,873
Lansdowne Developed Markets Strategic Investment Master Fund Limited	15 Davies Street W1K 3AG, London, United Kingdom	Series G Preferred	228,310
Lansdowne Developed Markets Strategic Investment Master Fund Limited	15 Davies Street W1K 3AG, London, United Kingdom	Series H Preferred	8,995

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
LaRosa, Vincent	1156 Sapphire Dr, Livermore CA, United States 94550	Common	1,500
Lee, Raymond	3325 Jackson Street, San Francisco CA, United States 94118	Common	47,323
Lee, Won Chan	335 Clipper St, San Francisco CA, United States 94114	Common	10,000
Leichner, Robert	131 Dunsmuir Way, Menlo Park CA, United States 94025	Common	101,684
Lessin, Samuel W	1033 Octaves Street, San Francisco CA, United States 94110	Series F Preferred	17,241
Levie, Mark R.	198 Stone Mountain Circle, Napa CA, United States 94558	Series B Preferred	111,111
Levie, Mark R.	198 Stone Mountain Circle, Napa CA, United States 94558	Series C Preferred	25,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Levitan, Anthony	1936 Brewster Ave, Redwood City CA, United States 94062	Common	10,000
Levy, Alan	5435 154th Avenue SE, Bellevue WA, United States 98006	Common	15,397
Levy, Alan	5435 154th Avenue SE, Bellevue WA, United States 98006	Series F Preferred	663
Levy, Arden	5435 154th Avenue SE, Bellevue WA, United States 98006	Common	151,667
Levy, Mitchell	1909 82nd Street, Seattle WA, United States 98103	Common	196,666
Li, Hai Feng	P.O. Box 60104, Sunnyvale CA, United States 94088	Common	72,020
Lin, Jane	1367 Glenmoor Way, San Jose CA, United States 95129	Common	15,825

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Lippmann, Julian	170 Laidley St., San Francisco CA, United States 94131	Common	12,610
Lomboy, Peter	1724 SW Barton St., Seattle WA, United States 98106	Common	1,784
Lopez, Irene	14465 Eastridge Dr., Red Bluff CA, United States 96080	Common	3,670
Lorenzo A. DiCarlo, Jr. Living Trust dated June 25, 1996	1425 Taylor Street, Apt. 602, San Francisco CA, United States 94133	Common	231,100
Lorenzo A. DiCarlo, Jr. Living Trust dated June 25, 1996	1425 Taylor Street, Apt. 602, San Francisco CA, United States 94133	Series F Preferred	5,086
Ly, Phong	1025 94 Avenue, Oakland CA, United States 94603	Common	5,000
Magnuson Revocable Trust 1/14/94	355 Mariposa Ave., Los Altos CA, United States 94022	Series C Preferred	323

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Magnuson Revocable Trust 1/14/94	355 Mariposa Ave., Los Altos CA, United States 94022	Series B Preferred	36
Magnuson Revocable Trust 1/14/94	355 Mariposa Ave., Los Altos CA, United States 94022	Series D Preferred	9
Mai, Hong	712 Singley Dr., Milpitas CA, United States 95035	Common	6,146
Marc Stephen Kreidler and Gloria Kreidler, as Trustees of The Kreidler Family Trust signed under Declaration of Trust dated March 8, 2019	645 E. McKinley Avenue, Sunnyvale CA, United States 94086	Common	45,919
Mark Zdeblick & Melanie Smitt Co-TTEE The Zdeblick-Smitt Family 2011 Trust U/A Date 05/24/2011	c/o Morgan Stanley Wealth Management 101 California Street, 3rd Floor, San Francisco CA, United States 94111	Common	1,455,323
Mark Zdeblick & Melanie Smitt Co-TTEE The Zdeblick-Smitt Family 2011 Trust U/A Date 05/24/2011	c/o Morgan Stanley Wealth Management 101 California Street, 3rd Floor, San Francisco CA, United States 94111	Series F Preferred	2,251
Martindill, Mildred	407 Wright Ave, Morgan Hill CA, United States 95037	Common	2,500

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Massachusetts Institute of Technology	238 Main St., Suite 200, Cambridge MA, United States 02142-1012	Series C Preferred	19,402
Massachusetts Institute of Technology	238 Main St., Suite 200, Cambridge MA, United States 02142-1012	Series B Preferred	2,185
Massachusetts Institute of Technology	238 Main St., Suite 200, Cambridge MA, United States 02142-1012	Series D Preferred	542
Massey Family Trust U/A/D 7/6/88	27741 Via Cerro Gordo, Los Altos Hills CA, United States 94022	Series C Preferred	161
Massey Family Trust U/A/D 7/6/88	27741 Via Cerro Gordo, Los Altos Hills CA, United States 94022	Series B Preferred	18
Massey Family Trust U/A/D 7/6/88	27741 Via Cerro Gordo, Los Altos Hills CA, United States 94022	Series D Preferred	4
Mate, David	20753 Scenic Vista Drive, San Jose CA, United States 95120	Common	24,159

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
McAllister, William	3716 Via La Selva, Palos Verdes Estates CA, United States 90274	Common	46,402
McCowin, Kathleen Dal Bon-	741 Ellsworth Place, Palo Alto CA, United States 94306	Common	121,191
McMullen, Ralph	17257 Barn Hill Lane, Lathrop CA, United States 95330	Common	491
McQuillan Family LLC	525 University Ave., Suite 1400, Palo Alto CA, United States 94301-3580	Series C Preferred	32
McQuillan Family LLC	525 University Ave., Suite 1400, Palo Alto CA, United States 94301-3580	Series B Preferred	3
MCS GST LLC	Room 2103, 21st Floor Fook Lee Commercial Centre Town Place 33 Lockhart Road Attn: Chen Angela, Director, Wanchai, Hong Kong	Series F Preferred	862,068
Mead, Hardwin	940 Roble Ridge Road, Palo Alto CA, United States 94306	Common	10,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Meader, Kristin	25 San Jose Ave. Apt 3, Pacifica CA, United States 94044	Common	1,124
Medtronic, Inc. (MS Pace LP)	710 Medtronic Parkway, NE, Minneapolis MN, United States 55432-5614	Series D Preferred	1,818,182
Medtronic, Inc. (MS Pace LP)	710 Medtronic Parkway, NE, Minneapolis MN, United States 55432-5614	Series E Preferred	862,069
Meints, Christina	432 28th Ave., San Mateo CA, United States 94403	Common	750
Mestman, Yuriy	183 Del Medio Ave., Apt. #107, Mountain View CA, United States 94040	Common	4,197
Meza, Carmen	1535 Four Oaks Circle, San Jose CA, United States 95131	Common	10,590
Michael A. Champa Revocable Trust	50 South LaSalle Street, Chicago IL, United States 60603	Series C Preferred	646

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Michael A. Champa Revocable Trust	50 South LaSalle Street, Chicago IL, United States 60603	Series B Preferred	72
Michael A. Champa Revocable Trust	50 South LaSalle Street, Chicago IL, United States 60603	Series D Preferred	18
Milner, Peter	2080 Marich Way, Apt 5, Mountain View CA, United States 94040	Common	4,000
Moon, Gregory	1843 Channing Avenue, Palo Alto CA, United States 94303	Common	149,166
Moorhead, Penjit	330 Seville Way, San Mateo CA, United States 94402	Common	7,125
Morano, Ryan	40049 Fremont Blvd, #707, Fremont CA, United States 94538	Common	5,031
Mullarkey (Fabela), Marnell	444 Laurel Ave., Half Moon Bay CA, United States 94019	Common	35,760

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Muralidharan, Veeraperumanallu	3450 Granada Ave. #90, Santa Clara CA, United States 95051	Common	38,555
Nanavati, Sanjiv	130 Baroni Ave. #13, San Jose CA, United States 95136	Common	29,333
Naumann, Steffen and Dr. Friederike Sybille	10 Stanley Mound Road, Stanley, Hong Kong	Series F Preferred	173,176
Nersesian, Michael	360 Beverly Ave, San Leandro CA, United States 94577	Common	1,800
Nesheiwat, Michael	PO Box 243, Lake Oswego OR, United States 97034	Common	29,958
Ness, Andrew	311 2nd St #514, Oakland CA, United States 94607	Common	31,491
Ngo, HongXuan	4442 Inyo Court, Fremont CA, United States 94538	Common	2,552
Nicholas, Rodney	924 Bridge Road, San Leandro CA, United States 94577	Common	12,035

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Nieves, Eslin	28240 Wren Ct, Hayward CA, United States 94545	Common	498
Noel E. Stubblefield Revocable Trust, dtd 12/3/08	1349 San Anselmo Ave., San Anselmo CA, United States 94960	Series C Preferred	295
Noel E. Stubblefield Revocable Trust, dtd 12/3/08	1349 San Anselmo Ave., San Anselmo CA, United States 94960	Series B Preferred	33
Noel E. Stubblefield Revocable Trust, dtd 12/3/08	1349 San Anselmo Ave., San Anselmo CA, United States 94960	Series D Preferred	8
Novartis Pharma AG	Lichtstrasse 35 CH-4056, Basel, Switzerland	Series E Preferred	2,586,207
Novartis Pharma AG	Lichtstrasse 35 CH-4056, Basel, Switzerland	Series F Preferred	1,637,931
OC Acquisition LLC	500 Oracle Parkway, Redwood Shores CA, United States 94065	Series F Preferred	1,724,137

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Orn, Scott	1440 Union Street, Apt. 103, San Francisco CA, United States 94109	Series C Preferred	38
Orn, Scott	1440 Union Street, Apt. 103, San Francisco CA, United States 94109	Series B Preferred	4
Orn, Scott	1440 Union Street, Apt. 103, San Francisco CA, United States 94109	Series D Preferred	1
Oster Family Revocable Trust, dtd 10/5/76 As Amended	3000 Sand Hill Road, 3-125, Menlo Park CA, United States 94025	Common	184,817
Oster Family Revocable Trust, dtd 10/5/76 As Amended	3000 Sand Hill Road, 3-125, Menlo Park CA, United States 94025	Series F Preferred	7,962
Otsuka Pharmaceutical Co., Ltd.	Shinagawa Grand Central Tower 2-16-4 Konan, Minato- Ku, Tokyo, Japan 108-8242	Series F Preferred	5,172,414
Otsuka Pharmaceutical Co., Ltd.	Shinagawa Grand Central Tower 2-16-4 Konan, Minato- Ku, Tokyo, Japan 108-8242	Series H Preferred	3,670,886
Ou, Ann	1109 Elm St # 2, San Francisco CA, United States 94115	Common	6,735

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Ouafo, Audrey	290 Summerwind Drive, Milpitas CA, United States 95035	Common	1,100
PaceSetter, Inc.	c/o St. Jude Medical CRMD, Attn: Edward Ferrier, Senior Vice President - Finance, 15900 Valley View Court, Sylmar CA, United States 91342	Series C Preferred	2,500,000
PaceSetter, Inc.	c/o St. Jude Medical CRMD, Attn: Edward Ferrier, Senior Vice President - Finance, 15900 Valley View Court, Sylmar CA, United States 91342	Series D Preferred	1,363,636
Partners Group Series Access, LLC, Series 10	Product Services Zugerstrasse 57, CH-6341 Baar-Zug, Switzerland	Series C Preferred	6,467
Partners Group Series Access, LLC, Series 10	Product Services Zugerstrasse 57, CH-6341 Baar-Zug, Switzerland	Series B Preferred	728
Partners Group Series Access, LLC, Series 10	Product Services Zugerstrasse 57, CH-6341 Baar-Zug, Switzerland	Series D Preferred	180
Pascale, Richard	5084 Lowell St NW, Washington DC, United States 20016	Common	15,500

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Paydo, Yanira	3172 San Gabriel Way, Union City CA, United States 94587	Common	1,374
PepsiCo, Inc.	700 Anderson Hill Road, Purchase NY, United States 10577	Series G Preferred	1,522,070
Perez, Mario	2163 16th Avenue, San Francisco CA, United States 94116	Common	10,832
Pesic, Iliya	21429 Tollgate Rd, Saratoga CA, United States 95070	Common	14,187
Peters, Nick	Dept of Cardiology, Imperial College Healthcare, St. Marys Hospital, Praed Street, London, United Kingdom W2 1NY	Common	30,000
Pham, Heidi	39166 Blacow Road, Fremont CA, United States 94538	Common	2,342
Pham, Ngan-ha	1993 Edgeview Dr., San Jose CA, United States 95122	Common	2,500

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Pikelny, Aleksandr	1308 N. Poinsettia Place #7, Los Angeles CA, United States 90046	Common	20,000
Pless, Ben	5 Ridge View Dr, Atherton CA, United States 94027	Common	258,333
Pless, Ben	5 Ridge View Dr, Atherton CA, United States 94027	Series F Preferred	1,344
Polanco, Arminda	1927 Everwood Court, San Jose CA, United States 95148	Common	4,132
Pollock, Kristin	7616 N Edison Street, Portland OR, United States 97203	Common	1,000
Pon, Michael	26815 Holly Hill Ave, Hayward CA, United States 94545	Common	11,173
Porter, James	5765 Balboa Drive, Oakland CA, United States 94611	Common	10,875

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Poulton, Barnaby	The Long House, 178 High Street, Yelling, St Neots Cambs, United Kingdom PE19 6SD	Common	9,690
Poursohi, Newsha	3760 Sawtelle Blvd, # 205, Los Angeles CA, United States 90066	Common	17,659
R. Angus West, as agent	7 Walnut Road, Wenham MA, United States 01984	Series C Preferred	48
R. Angus West, as agent	7 Walnut Road, Wenham MA, United States 01984	Series B Preferred	5
R. Angus West, as agent	7 Walnut Road, Wenham MA, United States 01984	Series D Preferred	1
Rackham, Donald	2733 Condor Ct, Union City CA, United States 94587	Common	23,513
Rai, Govind	3305 Madden Way, Dublin CA, United States 94568	Common	4,433

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Raja, Praveen	6816 Turturici Court, San Jose CA, United States 95135	Common	10,000
Rajagopalan, Shruti	1112 Trumpet Vine Lane, San Ramon CA, United States 94582	Common	3,361
RDS Capital, LLC	PO Box 596, Diablo CA, United States 94528	Series C Preferred	4,565
RDS Capital, LLC	PO Box 596, Diablo CA, United States 94528	Series B Preferred	547
RDS Capital, LLC	PO Box 596, Diablo CA, United States 94528	Series D Preferred	162
Reggiardo Jr., Silvio	3426 Forbes Avenue, Santa Clara CA, United States 95051	Common	13,500
Reggiardo, Christopher	3702 Spyglass Court, Castro Valley CA, United States 94546	Common	13,500

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Renukuntla, Sharath	1146 Derbyshire Dr., Cupertino CA, United States 95014	Common	7,631
Rhode Island Employees' Retirement System	50 Service Ave, Warwick RI, United States 02886	Series C Preferred	7,276
Rhode Island Employees' Retirement System	50 Service Ave, Warwick RI, United States 02886	Series B Preferred	819
Rhode Island Employees' Retirement System	50 Service Ave, Warwick RI, United States 02886	Series D Preferred	203
Robert L. and Phyllis A. White	450 El Escarpado Way, Stanford CA, United States 94305	Common	113,352
Robertson (consultant), Timothy	2719 Sequoia Way, Belmont CA, United States 94002	Common	93,243
Robertson, Timothy	2719 Sequoia Way, Belmont CA, United States 94002	Common	123,755

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Robertson, Timothy	2719 Sequoia Way, Belmont CA, United States 94002	Series F Preferred	4,067
Roncarolo de Vries, David	1274 Sharon Park Drive, Menlo Park CA, United States 94025	Common	17,725
Rosenthal, Deborah	96 Lynn Fells Parkway, Melrose MA, United States 02176	Series C Preferred	307
Rosenthal, Deborah	96 Lynn Fells Parkway, Melrose MA, United States 02176	Series B Preferred	34
Rosenthal, Deborah	96 Lynn Fells Parkway, Melrose MA, United States 02176	Series D Preferred	8
Rowan, Todd	126 N. 7th Street #1, San Jose CA, United States 95112	Common	34,847
Rowberry, Kenneth	415 N 2nd St Apt 343, San Jose CA, United States 95112	Common	26,319

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Ruggio, Joseph M.	3080 Bristol Street, Suite 600, Costa Mesa CA, United States 92626	Common	196,182
Ruggio, Joseph M.	3080 Bristol Street, Suite 600, Costa Mesa CA, United States 92626	Series A Preferred	10,000
Ruggio, Joseph M.	3080 Bristol Street, Suite 600, Costa Mesa CA, United States 92626	Series F Preferred	8,479
Ruggio, Joseph M.	3080 Bristol Street, Suite 600, Costa Mesa CA, United States 92626	Series E Preferred	4,310
Ruggio, Joseph M.	3080 Bristol Street, Suite 600, Costa Mesa CA, United States 92626	Series C Preferred	3,656
Ruggio, Joseph M.	3080 Bristol Street, Suite 600, Costa Mesa CA, United States 92626	Series D Preferred	1,129
Sadana, Anshul	996 Garrity Way, Santa Clara CA, United States 95054	Common	140,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Santos, Josefina	36 Meadow Lane, Redwood City CA, United States 94063	Common	2,000
Saper Family Trust U/D/T 3/14/85	650 Page Mill Road, Palo Alto CA, United States 94304-1050	Series C Preferred	161
Saper Family Trust U/D/T 3/14/85	650 Page Mill Road, Palo Alto CA, United States 94304-1050	Series B Preferred	18
Saper Family Trust U/D/T 3/14/85	650 Page Mill Road, Palo Alto CA, United States 94304-1050	Series D Preferred	4
Savage Thompson Management, LLC as Nominee	840 Westridge Drive, Portola Valley CA, United States 94028-7335	Common	480,000
Savage Thompson Ventures, L.P.	840 Westridge Drive, Portola Valley CA, United States 94028-7335	Common	500
Savage, George	1180 Westridge Drive, Portola Valley CA, United States 94028	Common	441,304

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Scheirlinck, Jason	1223 Kearny Street, Apt 4, San Francisco CA, United States 94133	Common	18,960
Scott Living Trust, dated 4/26/94	PO Box 27, Point Arena CA, United States 95468	Series C Preferred	1,033
Scott Living Trust, dated 4/26/94	PO Box 27, Point Arena CA, United States 95468	Series B Preferred	116
Scott Living Trust, dated 4/26/94	PO Box 27, Point Arena CA, United States 95468	Series D Preferred	28
Segal, William	475 Hillside Drive, Atlanta GA, United States 30342	Common	24,806
Segal, William	475 Hillside Drive, Atlanta GA, United States 30342	Series F Preferred	1,197
Semiconductor Components Industries, LLC	5005 E. McDowell Road, Phoenix AZ, United States 85008	Series E Preferred	862,069

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Semiconductor Components Industries, LLC	5005 E. McDowell Road, Phoenix AZ, United States 85008	Series F Preferred	172,414
Sensor International Investment Ltd.	Unit 2006-08, 20/F, Harbour Centre 25 Harbour Road, Wan Chai, Hong Kong	Series G Preferred	1,537,290
Shanghai Sailing Boda Keer Investment Fund Limited	36/F Citc Plaza No. 859 North Sichuan Road, Shanghai Shanghai, China 200085	Series H Preferred	1,582,278
Shaw, Kathleen	871 Valencia Dr, Milpitas CA, United States 95035	Common	25,000
Shenasa, Jafar	1402 Gerlach Dr, San Jose CA, United States 95118	Common	20,441
Sibert, Stephanie	2410 Stanton Hill Road, Castro Valley CA, United States 94546	Common	7,200
Sino Portfolio International Limited	Fook Lee Commercial Centre Town Place 33 Lockhart Road, 21st Floor, Wan Chai, Hong Kong	Series F Preferred	862,069
Sino Portfolio International Limited	Fook Lee Commercial Centre Town Place 33 Lockhart Road, 21st Floor, Wan Chai, Hong Kong	Series G Preferred	688,737

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Sloan, Norton Q	P.O. Box 570, 43 Argilla Rd., Ipswich MA, United States 01938	Series C Preferred	161
Sloan, Norton Q	P.O. Box 570, 43 Argilla Rd., Ipswich MA, United States 01938	Series B Preferred	18
Sloan, Norton Q	P.O. Box 570, 43 Argilla Rd., Ipswich MA, United States 01938	Series D Preferred	4
Smitt, Melanie	300 La Mesa Drive, Portola Valley CA, United States 94028	Common	2,159
Snyder, Eric	2218 Derry Way, South San Francisco CA, United States 94080	Common	11,000
Songhurst, Charles	2151 Village Walk Dr #13206, Henderson NV, United States 89012	Common	56,041
Songhurst, Charles	2151 Village Walk Dr #13206, Henderson NV, United States 89012	Series F Preferred	34,482

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Sprague River Partners	1720 Orchard Lane, Walnut Creek CA, United States 94595	Series G Preferred	3,805
Spring Ridge Ventures I, L.P.	3351 El Camino Real, Suite 215, Atherton CA, United States 94027	Series A Preferred	1,480,000
Spring Ridge Ventures I, L.P.	3351 El Camino Real, Suite 215, Atherton CA, United States 94027	Series B Preferred	555,556
Spring Ridge Ventures I, L.P.	3351 El Camino Real, Suite 215, Atherton CA, United States 94027	Series C Preferred	447,500
Spring Ridge Ventures I, L.P.	3351 El Camino Real, Suite 215, Atherton CA, United States 94027	Common	251,201
SRV-Proteus Partners, L.P.	3351 El Camino Real, Suite 215, Atherton CA, United States 94027	Series C Preferred	427,500
SRV-Proteus Partners, L.P.	3351 El Camino Real, Suite 215, Atherton CA, United States 94027	Series D Preferred	143,945

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Stanhope, William	3457 Wynnton Drive, NE, Atlanta GA, United States 30319-1947	Common	24,806
Stanhope, William	3457 Wynnton Drive, NE, Atlanta GA, United States 30319-1947	Series F Preferred	1,197
State Teachers Retirement System of Ohio	275 East Broad Street, Columbus OH, United States 43215-3771	Series C Preferred	16,169
State Teachers Retirement System of Ohio	275 East Broad Street, Columbus OH, United States 43215-3771	Series B Preferred	1,821
State Teachers Retirement System of Ohio	275 East Broad Street, Columbus OH, United States 43215-3771	Series D Preferred	451
Stertzer Family Trust	c/o Simon H. Stertzer, M.D., P.O. Box 176, Tesuque NM, United States 87574	Series B Preferred	111,111
Stertzer Holdings, LLC	c/o Simon H. Stertzer, M.D. P.O. Box 176, Tesuque NM, United States 87574	Series D Preferred	100,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Stertzer Holdings, LLC	c/o Simon H. Stertzer, M.D. P.O. Box 176, Tesuque NM, United States 87574	Series C Preferred	40,617
Stolyar, Ekaterina (Katherine)	20153 Forest Ave, Apt # 10, Castro Valley CA, United States 94546	Common	4,560
Strategic Partners V VC Holdings, L.P.	345 Park Avenue, 32nd Floor, New York NY, United States 10154	Series C Preferred	2,425
Strategic Partners V VC Holdings, L.P.	345 Park Avenue, 32nd Floor, New York NY, United States 10154	Series B Preferred	273
Strategic Partners V VC Holdings, L.P.	345 Park Avenue, 32nd Floor, New York NY, United States 10154	Series D Preferred	67
Strategic Partners VI Investments LP	345 Park Avenue, 32nd Floor, New York NY, United States 10154	Series C Preferred	11,318
Strategic Partners VI Investments LP	345 Park Avenue, 32nd Floor, New York NY, United States 10154	Series B Preferred	1,275

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Strategic Partners VI Investments LP	345 Park Avenue, 32nd Floor, New York NY, United States 10154	Series D Preferred	316
Strother, Charles	5008 Risser Road, Madison WI, United States 53705	Series B Preferred	27,778
Strother, Charles	5008 Risser Road, Madison WI, United States 53705	Common	21,504
Strother, Charles	5008 Risser Road, Madison WI, United States 53705	Series C Preferred	20,000
Strother, Charles	5008 Risser Road, Madison WI, United States 53705	Series D Preferred	3,950
Strother, Charles	5008 Risser Road, Madison WI, United States 53705	Series F Preferred	1,781
Stubblefield, Richard D	PO Box 596, Diablo CA, United States 94528	Series C Preferred	295

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Stubblefield, Richard D	PO Box 596, Diablo CA, United States 94528	Series B Preferred	33
Stubblefield, Richard D	PO Box 596, Diablo CA, United States 94528	Series D Preferred	8
Sussex, Elisabeth	602 Mendocino Way, Redwood City CA, United States 94065	Common	121,277
Sutter Health	c/o Peter Anderson SVP Strategy & Business Development Sutter Health 2200 River Plaza Drive, Sacramento CA, United States 95833	Series G Preferred	761,035
Swedish, Joseph	260 High Park Court # 1550, Silverthorne CO, United States 80498	Common	62,500
Symonds, Jonathan	Batchworth Heath House, 1 Batchworth Heath, Rickmansworth Herts, United Kingdom WD3 1QB	Common	117,500

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Symonds, Jonathan	Batchworth Heath House, 1 Batchworth Heath, Rickmansworth Herts, United Kingdom WD3 1QB	Series D Preferred	45,455
Symonds, Jonathan	Batchworth Heath House, 1 Batchworth Heath, Rickmansworth Herts, United Kingdom WD3 1QB	Series G Preferred	38,051
Tantawy, Maher	4119 Keith Drive, Campbell CA, United States 95008	Common	6,750
Taylor, Matthew	21099 Brush Road, Los Gatos CA, United States 95033	Common	55,120
Tendulkar, Mahir	2560 Early Rivers Ct, Union City CA, United States 94587	Common	3,575
Tevis Partners B, L.P.	250 Middlefield Road, Menlo Park CA, United States 94025	Series C Preferred	161
Tevis Partners B, L.P.	250 Middlefield Road, Menlo Park CA, United States 94025	Series B Preferred	18

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Tevis Partners B, L.P.	250 Middlefield Road, Menlo Park CA, United States 94025	Series D Preferred	4
Tevis Partners, L.P.	6 Tevis Place, Palo Alto CA, United States 94301	Series C Preferred	161
Tevis Partners, L.P.	6 Tevis Place, Palo Alto CA, United States 94301	Series B Preferred	18
Tevis Partners, L.P.	6 Tevis Place, Palo Alto CA, United States 94301	Series D Preferred	4
Thai, Alvin	2083 Edgegate Drive, San Jose CA, United States 95122	Common	4,050
The Chandra Living Trust dtd 7/9/01	97 Gresham Lane, Atherton CA, United States 94027	Series C Preferred	7,385
The Chandra Living Trust dtd 7/9/01	97 Gresham Lane, Atherton CA, United States 94027	Series B Preferred	831

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
The Chandra Living Trust dtd 7/9/01	97 Gresham Lane, Atherton CA, United States 94027	Series D Preferred	206
The Daniel and Lisa Sue Lee Trust of 1982 (Amended and Restated 2009)	5122 Ellington Court, Granite Bay CA, United States 95746	Series G Preferred	76,103
The Dennis D. Ryan and Rebecca Lynne Sutter- Ryan 2001 Family Living Trust	131 Altura Way, Greenbrae CA, United States 94904	Series C Preferred	923
The Dennis D. Ryan and Rebecca Lynne Sutter- Ryan 2001 Family Living Trust	131 Altura Way, Greenbrae CA, United States 94904	Series B Preferred	103
The Dennis D. Ryan and Rebecca Lynne Sutter- Ryan 2001 Family Living Trust	131 Altura Way, Greenbrae CA, United States 94904	Series D Preferred	25
THE FATANEH OMIDVAR TRUST	35 Hidden Hills Place, Danville CA, United States 94506	Common	106,840
The George Savage and Nancy Savage Living Trust, George and Nancy Savage, Trusteess	1180 Westridge Drive, Portola Valley CA, United States 94028	Common	88,696

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
The Marshall & Claudia Smith Family Trust dtd 6/15/06	26535 Weston Dr., Los Altos Hills CA, United States 94022	Series C Preferred	32
The Marshall & Claudia Smith Family Trust dtd 6/15/06	26535 Weston Dr., Los Altos Hills CA, United States 94022	Series B Preferred	3
The Marshall & Claudia Smith Family Trust dtd 6/15/06	26535 Weston Dr., Los Altos Hills CA, United States 94022	Series D Preferred	1
The Permanente Federation LLC - Series D	One Kaiser Plaza, 22nd Floor, Oakland CA, United States 94612	Series B Preferred	555,555
The Permanente Federation LLC - Series F	One Kaiser Plaza, 22nd Floor, Oakland CA, United States 94612	Series C Preferred	203,087
The Permanente Federation LLC - Series G	One Kaiser Plaza, 22nd Floor, Oakland CA, United States 94612	Series D Preferred	31,363
The Permanente Federation LLC-Series I	One Kaiser Plaza, 22nd Floor, Oakland CA, United States 94612	Series F Preferred	25,475

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
The Richard D. Stubblefield, Jr. Living Trust dated 1/16/2009	PO Box 596, Diablo CA, United States 94528	Series C Preferred	20,034
The Richard D. Stubblefield, Jr. Living Trust dated 1/16/2009	PO Box 596, Diablo CA, United States 94528	Series B Preferred	2,257
The Richard D. Stubblefield, Jr. Living Trust dated 1/16/2009	PO Box 596, Diablo CA, United States 94528	Series D Preferred	559
The Sze/Harris Revocable Trust Date of Establishment March 1, 2003		Common	2,429
The Turner Trust created on 3/7/06	102 Woodland Drive, Hillsborough CA, United States 94010	Series C Preferred	2,461
The Turner Trust created on 3/7/06	102 Woodland Drive, Hillsborough CA, United States 94010	Series B Preferred	277
The Turner Trust created on 3/7/06	102 Woodland Drive, Hillsborough CA, United States 94010	Series D Preferred	68
The White Family Trust, Robert L. White and Phyllis A. White TTEES, U/A DTD 6/16/1986	450 El Escarpado Way, Stanford CA, United States 94305	Common	4,715

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Thomas, Keith	40220 Landon Avenue, Fremont CA, United States 94538	Common	5,250
Thompson, Andrew	840 Westridge Drive, Portola Valley CA, United States 94028	Common	1,500,000
Thompson, Todd	562 El Pintado Rd., Danville CA, United States 94526	Common	100,000
TIAA-CREF	8500 Andrew Carnegie Blvd., C3-04, Charlotte NC, United States 28262	Series C Preferred	25,870
TIAA-CREF	8500 Andrew Carnegie Blvd., C3-04, Charlotte NC, United States 28262	Series B Preferred	2,914
TIAA-CREF	8500 Andrew Carnegie Blvd., C3-04, Charlotte NC, United States 28262	Series D Preferred	722
Tomanek Family Trust UAD 2/27/87	26616 Westwind Wy., Los Altos Hills CA, United States 94022-3386	Series C Preferred	323

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Tomanek Family Trust UAD 2/27/87	26616 Westwind Wy., Los Altos Hills CA, United States 94022-3386	Series B Preferred	36
Tomanek Family Trust UAD 2/27/87	26616 Westwind Wy., Los Altos Hills CA, United States 94022-3386	Series D Preferred	9
Topoian, Laura	2705 Hilliside Drive, Burlingame CA, United States 94010	Common	1,333
Trustees of Dartmouth College	7 Lebanon St., Suite 107, Hanover NH, United States 03755	Series C Preferred	5,174
Trustees of Dartmouth College	7 Lebanon St., Suite 107, Hanover NH, United States 03755	Series B Preferred	582
Trustees of Dartmouth College	7 Lebanon St., Suite 107, Hanover NH, United States 03755	Series D Preferred	144
Tsunemoto, Carrie	51 Summer Hill Court, Danville CA, United States 94526	Common	23

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Turner, William	646 40th Avenue, San Francisco CA, United States 94121	Common	4,500
Turtle & Co.	176 Federal St., Boston MA, United States 02110	Series C Preferred	410
Turtle & Co.	176 Federal St., Boston MA, United States 02110	Series B Preferred	46
Turtle & Co.	176 Federal St., Boston MA, United States 02110	Series D Preferred	11
University of Richmond	6802 Paragon Place, Suite 205, Richmond VA, United States 23230	Series C Preferred	3,233
University of Richmond	6802 Paragon Place, Suite 205, Richmond VA, United States 23230	Series B Preferred	364
University of Richmond	6802 Paragon Place, Suite 205, Richmond VA, United States 23230	Series D Preferred	90

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Vagelos, Randall	800 Lathrop Dr, Stanford CA, United States 94305	Common	16,741
Velazquez, Esmeralda	372 Azalia Drive, East Palo Alto CA, United States 94303	Common	3,281
Velazquez, Miquel	372 Azalia Drive, East Palo Alto CA, United States 94303	Common	1,870
Victor, Fung Chiu Chak	20B Ho King View 2 Braemar Hill Road, North Point, Hong Kong	Series G Preferred	76,103
Virdi, Naunihal	273 Mountain View Ave, Mountain View CA, United States 94041	Common	55,865
Walkingshaw, Robert	1 Charles River Ct., Wellesley MA, United States 02482	Series C Preferred	646
Walkingshaw, Robert	1 Charles River Ct., Wellesley MA, United States 02482	Series B Preferred	72
Walkingshaw, Robert	1 Charles River Ct., Wellesley MA, United States 02482	Series D Preferred	18

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Wallace, David	613 Kains Ave, Albany CA, United States 94706	Common	18,179
Walter, Gregory	2332 Running Water Court, Santa Clara CA, United States 95054	Common	5,034
Watson, Richard	3022 Fulton Street, Berkeley CA, United States 94705	Common	7,499
Webb, Douglas	768 Sunshine Drive, Los Altos CA, United States 94024	Common	58,975
Wedgwood, Susannah	526 8th Street, Marysville CA, United States 95901	Common	4,666
Wells Fargo & Company	400 Hamilton Avenue, Suite 210, Palo Alto CA, United States 94301	Series B Preferred	9,895
West, Jeff	1114 Lund Ranch Road, Pleasanton CA, United States 94566	Common	1,755

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Wetra, Christine	3985 Via Cristobal, Campbell CA, United States 95008	Common	1,000
Whitworth, Adam	1900 Aberdeen Lane, Mountain View CA, United States 94043	Common	63,799
Wiener, Cliff	5615 S Jojoba Way, Chandler AZ, United States 85248	Common	17,500
Williams, Laura	1775 Turk St, San Francisco CA, United States 94115	Common	10,000
Withrington, Jonathan	1220 Ellis St., Apt. 9, San Francisco CA, United States 94109	Common	35,000
Wong, Ming Yu	4722 Jaques Ct, Fremont CA, United States 94555	Common	5,000
Wong, Spencer	948 Emerald Way, San Jose CA, United States 95117	Common	1,000

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
WS Investment Company, LLC (2002A)	650 Page Mill Rd., Palo Alto CA, United States 94304	Series C Preferred	32
WS Investment Company, LLC (2002A)	650 Page Mill Rd., Palo Alto CA, United States 94304	Series B Preferred	3
WS Investment Company, LLC (2002C)	650 Page Mill Rd., Palo Alto CA, United States 94304	Series C Preferred	64
WS Investment Company, LLC (2002C)	650 Page Mill Rd., Palo Alto CA, United States 94304	Series B Preferred	7
WS Investment Company, LLC (2002C)	650 Page Mill Rd., Palo Alto CA, United States 94304	Series D Preferred	1
Yee, Edison	359 San Luis Avenue, Los Altos CA, United States 94024	Common	11,952
YFO Investments Limited	c/o The Management Office No. 8 Mount Austin Road, The Peak, Hong Kong	Series G Preferred	76,103
Yohannis, Yonas	33355 4th St, Union City CA, United States 94587	Common	5,969

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
York, Gwill E	16 Fayerweather Street, Cambridge MA, United States 02138	Series C Preferred	13,947
York, Gwill E	16 Fayerweather Street, Cambridge MA, United States 02138	Series B Preferred	1,570
York, Gwill E	16 Fayerweather Street, Cambridge MA, United States 02138	Series D Preferred	389
Young, Julian	The Forge, Manor Rd, North Wootton, King's Lynn Norfolk, United Kingdom PE30 3PZ	Common	32,354
Yuan Capital LP	attn: Jeff Ren Yuan Management Hong Kong Limited Suites 3513-3515, Two IFC, 8 Finance Street, Central, Hong Kong	Series G Preferred	1,141,552
Yuen, Pui (Patsy)	25 Lorton Ave, Apt 6, Burlingame CA, United States 94010	Common	4,500
Za, Khun	26993 Hayward Blvd, Care of Nang Kham, Hayward CA, United States 94542	Common	25,620

Name	Last Known Address	Kind/Class of Interest	Number of Interests Held
Zdeblick, Mark	300 La Mesa Drive, Portola Valley CA, United States 94028	Common	184,778
Zdeblick, Mick	420 Henry Cowell Drive #1945, Santa Cruz CA, United States 95060	Common	2,000
Zdeblick, Tom	Module G5 Bx3236 Clinical Science Center, 600 Highland Avenue, Madison WI, United States 53792	Common	7,288
Zhang, Peiyao	645 Rollins Road, Unit 2, Burlingame CA, United States 94010	Common	4,350
Zhao, Dongheng (Henry)	5450 Dekker Terrace, Fremont CA, United States 94555	Common	5,000
Zhou, Bing	33rd Floor Unit 283 South Tower Hong Kong Plaza Middle Huai Hai Road, Huang Pu District Shanghai, China	Series G Preferred	76,107